
Virginia Department of Aviation

Airport Program Manual



Virginia Department of Aviation
5702 Gulfstream Road
Richmond, VA 23250-2422
Phone: 804-236-3632
Fax: 804-236-3635
Web: www.doav.virginia.gov

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1.0 Introduction

The Commonwealth of Virginia maintains one of the nation's most comprehensive and advanced statewide aviation systems. To support the continuing growth and operation of this system, the Virginia Aviation Board has developed funding programs to assist sponsors of public-use airports with a variety of improvement activities ranging from planning to construction to promotions. The funding programs are:

- Airport Capital Program
- Facilities and Equipment Program
- Voluntary Security Program
- Maintenance Program
- Aviation Promotion Program
- Air Service Development and Enhancement Program

For each of these programs, the *Airport Program Manual* provides information on eligible projects, state participation, and processes from [project request submission](#) to reimbursement.

This manual also includes information on:

- the Virginia Airports Revolving Fund managed by Virginia Resources Authority
- the state's General Aviation Airport Voluntary Security Certification Program
- procedures for licensing a public-use airport

In addition to program information, the *Airport Program Manual* contains policies of the Virginia Aviation Board and the administrative processes the Virginia Department of Aviation uses to implement policy. The manual does not address every situation or concern faced by airport sponsors. Sponsors should contact DOAV if they have questions on policies and processes not found in the manual.

Airport sponsors are encouraged to use the *Airport Program Manual* to learn about the programs and their responsibilities in regard to the programs. Sponsors are also encouraged to contact the department when they have questions on the programs, [policies, and processes](#) described in the manual.

All forms mentioned in the *Airport Program Manual* and the [Airport IQ: Virginia Sponsors Guide](#), the resource for processes that involve the use of the Airport IQ system, are available on DOAV's website at www.doav.virginia.gov.

2.0 Roles and Responsibilities

A key element to the strength and continued growth of Virginia's aviation system is the partnering between the Virginia Aviation Board, Virginia Department of Aviation, Federal Aviation Administration, and airport sponsors. Each has roles and responsibilities that support individual airports and the statewide air transportation system.

2.1 Virginia Aviation Board

The Virginia Aviation Board (VAB) establishes financial assistance programs and allocates funds for capital improvement projects. The VAB sets policies to guide the funding programs and to promote and develop safe aviation practices and operations in Virginia. The VAB hears airport sponsor and citizen concerns on matters pertaining to aviation and acts as a liaison to the department in an effort to be responsive to local jurisdictions, users of the system, and citizens. Airport sponsors are encouraged to maintain regular contact with their representative VAB members, keeping them aware of issues affecting the planned development of their airports. The duties and responsibilities of the VAB are set in *Code of Virginia* §5.1-2.1 et seq.

2.2 Virginia Department of Aviation

The Virginia Department of Aviation (DOAV) provides financial and technical assistance to eligible airport sponsors for the planning, development, promotion, construction, and operation of airports and aviation facilities. DOAV also administers applicable provisions of the *Code of Virginia*, plans for the development of the state aviation system, licenses airports and aircraft, and promotes aviation activities within the state. The duties and responsibilities of DOAV are set in *Code of Virginia* §5.1-1.1 et seq.

2.3 Federal Aviation Administration

The Federal Aviation Administration (FAA) provides financial and technical assistance to eligible airport sponsors for the planning, design, and construction of airports and aviation facilities. FAA also sets design and operation standards for airports.

2.4 Virginia Airport Sponsors

An airport sponsor, defined as the entity that is financially responsible for an airport, has many obligations for its airport, ranging from financial dealings and long-term development planning to daily maintenance and operational activities. A sponsor is solely responsible for insuring that the airport is compliant with federal and state grant assurances; board policies; and FAR Part 77, *Virginia Aviation Regulations* VAC 5-

20-149, and *FAA Advisory Circular 150/5300-13* criteria. Other key responsibilities for sponsors include the completion and submission to DOAV of an *Annual Based Aircraft Survey*, an *Annual Certification of Financial Responsibility*, and a six-year Airport Capital Improvement Plan. In addition, sponsors should work with local planning offices and jurisdictional decision makers to develop and enforce land use plans and airport overlay zones that support compatible land use around airports. Also, sponsors **must** submit leases of airport land for DOAV's approval prior to the execution of the lease in accordance with *Code of Virginia* §5.1-40.

2.4.1 Obstruction Clearance and Certification

Sponsors are responsible for keeping their airports clear of obstructions to the appropriate standards. Airport sponsors must certify their obstruction status in order to be eligible for funding from any state program. Additional information on the effect of obstructions on project eligibility and obstruction certification is provided in 5.0 Project Eligibility.

2.4.2 Annual Based Aircraft Survey

Public-use airport sponsors are required to submit an *Annual Based Aircraft Survey* to DOAV in accordance with *Virginia Aviation Regulations* 24 VAC 5-20-350. The survey is designed to record a physical count of based aircraft on an annual basis and to assist DOAV with its process of properly planning for and licensing all Virginia-based aircraft. The survey consists of a census of aircraft owners and aircraft and an itemized summary of aircraft listed by class; both sections must be completed. Surveys are due to DOAV no later than January 31 of each year.

2.4.3 Annual Certification of Financial Responsibility

Each year a sponsor must certify to DOAV that the sponsor has adequate liability insurance or other means of financial responsibility to satisfy the minimum dollar amount required by *Code of Virginia* §5.1-88.7 et seq. The *Annual Certification of Financial Responsibility* form is due to DOAV no later than January 31 of each year.

2.4.4 Six-Year Airport Capital Improvement Plan

A six-year Airport Capital Improvement Plan (ACIP) identifies and prioritizes projects for an airport. This plan is vital to securing project funding, whether it is federal, state, or local funding. These plans are usually submitted to DOAV prior to the beginning of each fiscal year. Additional information on six-year ACIPs is provided in Chapter 6 Airport Capital Program.

2.4.5 Entitlement Utilization Report

Sponsors eligible for state entitlement funds must submit a *Commonwealth Airport Fund Entitlement Utilization Report* for VAB approval each year. These reports provide an annual accounting of the previous fiscal year's state entitlement fund expenditures. Utilization reports shall be filed within 30 calendar days after the close of the fiscal year. Additional information on these reports is provided in 3.1.1.1 State Entitlement Funds.

3.0 Funding for Airport Projects

The Virginia Aviation Board has determined that state funding should be expended on specified elements of airport planning and development projects. State funding should be allocated to sponsors who meet requirements established by the *Virginia Aviation Regulations* or board policy. It is VAB policy to allocate funding for airport improvements in order to:

- meet regulatory and policy obligations
- maximize benefits to the public
- improve access to airports

The VAB and DOAV participate in projects that are either federally funded or state funded. A federally funded project involves federal, state, and local funding. A state funded project involves state and local funding only.

3.1 State Aviation Funding Resources

The Commonwealth Airport Fund and the Aviation Special Fund provide the financial resources for the programs established and administered by the VAB and the Virginia Department of Aviation.

DOAV may conduct an audit on an airport sponsor's use of state entitlement and discretionary funds to support accountability monitoring. Results will be reported to the VAB for appropriate action.

3.1.1 Commonwealth Airport Fund

The Commonwealth Airport Fund (CAF) receives its revenue from an annual allocation made by the Commonwealth Transportation Board to the Virginia Aviation Board. The *Code of Virginia* specifies that CAF resources shall be allocated to airports on the basis of their service role as identified in the *Virginia Air Transportation System Plan (VATSP)*. Entitlement and discretionary funds are made available from the CAF and are used under the Airport Capital Program.

3.1.1.1 State Entitlement Funds From the CAF, state entitlement funds are allocated annually to sponsors of airports that have scheduled air carrier service in accordance with *Code of Virginia* §58.1-638.A3. This allocation is based on each airport's enplanements as a percentage of all air carrier airport enplanements within the state during the previous calendar year. Initial calculations will be made using the draft annual enplanement data published in FAA's Passenger Boarding and Air Cargo Data, which is extracted from the Air Carrier Activity Information System provided by the Research and Innovative Technology Administration of the Bureau of Transportation Statistics. The percentages will be revised when the final annual enplanement data is published. *Code of Virginia* §58.1-638.A3a specifies that no air carrier airport shall receive less than \$50,000 or more than \$2 million per fiscal year in state entitlement funds.

When an air carrier airport sponsor has unobligated state entitlement funds at the end of a fiscal year, the unobligated funds are added to the balance of state entitlement funds awarded to the sponsor for the following fiscal year.

Sponsors eligible for state entitlement funds must submit a *Commonwealth Airport Fund Entitlement Utilization Report* for VAB approval each year in order to qualify for state discretionary funding. These reports provide an annual accounting of the previous fiscal year's state entitlement fund expenditures. Utilization reports shall be filed within 30 calendar days after the close of the fiscal year. The DOAV form must be used for reporting by sponsors. Reporting submitted on other forms will be returned to sponsors.

DOAV reviews the reports and makes recommendations to the VAB. Following VAB action on the reports, DOAV will notify sponsors of the VAB's decisions regarding the utilization reports. If a sponsor does not submit an entitlement utilization report and subsequently requests state discretionary funds, all prior unreported expenditures will be assumed to be outside of normal project expenditures and treated as described in 3.1.1.3.2 Projects Outside of Normal Expenditures.

3.1.1.2 State Discretionary Funds Code of Virginia §58.1-638.A3 directs the VAB to allocate funds to air carrier, reliever, and general aviation airports on a discretionary basis. These funds are known as discretionary funds.

3.1.1.3 Air Carrier Utilization of State Entitlement and Discretionary Funds It is the expressed intent of the VAB that an air carrier airport sponsor totally obligates its state entitlement funds prior to that sponsor receiving any state discretionary fund allocations. These funds include unexpended state entitlement funds from previous fiscal years, interest earned on state entitlement funds, and passenger facility charges used to reimburse state entitlement fund accounts.

DOAV encourages sponsors to use other available federal, state, and local funding options, such as passenger facility charges, before applying for state discretionary funds. If a sponsor of an air carrier airport needs state discretionary funds to meet capital needs, the sponsor must file a six-year Airport Capital Improvement Plan with DOAV, denoting how the state entitlement and discretionary funds will be used.

The sponsor determines the expenditure of state entitlement funds; however, it is the VAB's intent that these funds be used as the state's share of costs for eligible federally funded projects. As the VAB approves state entitlement utilization reports each fiscal year, the VAB's actions regarding the expenditure and commitment of an airport's state entitlement funds will be used by DOAV as a basis for recommendations to approve or disapprove allocations of state discretionary funds for eligible projects.

State entitlement funds can be used for any project eligible under the Airport Capital Program, Facilities and Equipment Program, and Maintenance Program. Operational costs are not eligible under any state funding program. If state entitlement funds are used for projects eligible under the Facilities and Equipment

Program or Maintenance Program, the sponsor shall not be eligible to receive approval for any funding from the respective programs during the fiscal year in which the state entitlement funds are spent, except as otherwise described in 6.7.5 Facilities and Equipment, Security, and Maintenance Project Requests; 7.0 Facilities and Equipment Program; 9.0 Maintenance Program; Appendix A section A.11 facility and equipment, security, and maintenance projects; and Appendix A section A.14 obstruction removal. If it is determined during the review of an entitlement utilization report that a sponsor used entitlement funds for eligible facilities and equipment projects or maintenance projects, as described in this section or Appendix A, and also used Facilities and Equipment Program or Maintenance Program funds for other projects eligible under the respective programs, the sponsor must reimburse the state for the Facilities and Equipment Program or Maintenance Program grants or allocations approved within that fiscal year.

To insure that state discretionary funds are being used to the greatest benefit to the state air transportation system, DOAV will track the allocation of state discretionary funds to the sponsors of air carrier and reliever airports and the distribution between funded projects and unfunded projects due to the lack of an available balance for the capital program. DOAV will report its findings and recommendations regarding funding policy to the Virginia Aviation Board each May.

3.1.1.3.1 Entitlement Only Projects Certain projects have been determined to be eligible only for the expenditure of state entitlement funds, referred to as entitlement only projects. Sponsors cannot request state discretionary funds for these projects. Most of these projects relate to expanded maintenance projects and purchases or are directly related to meeting safety and performance standards established by FAR Part 139 and TSAR 1542. Projects that are eligible only for state entitlement funds include but are not limited to:

- debt service retirement
- aircraft removal systems
- construction of ARFF simulator facilities and the provision of its related equipment, such as simulator pad, airfield access, and propane
- maintenance contracts and repairs related to systems and equipment
- purchase of equipment for snow and ice removal and treatment that exceeds a snow removal equipment plan for a FAR Part 139 airport
- purchase of firearms and body armor for law enforcement officers employed by the airport
- procurement of equipment, videos, and consultant services used to meet FAR Part 139 and TSAR 1542 training requirements
- improvements and training needed for OSHA compliance

The project priority score for entitlement only projects is zero, with the exception of debt service retirement. When the sponsor of an air carrier airport needs to request state discretionary funding, the project request evaluation process would begin with the scoring of all of the projects in the airport's Airport Capital Improvement Plan for the appropriate fiscal year. The state entitlement funds available to the airport would

be allocated to the highest scoring projects. The remaining projects would then compete for air carrier/reliever discretionary funds to be allocated by the VAB. From those allocated state discretionary funds, deductions would be taken equal to the state's share of expenditures made for any entitlement only project already undertaken within the fiscal year.

3.1.1.3.2 Projects Outside of Normal Expenditures Certain projects not listed or generally described in this manual have been determined to be outside of normal project expenditures. If the sponsor of an air carrier airport uses state entitlement funds for such a project, the state's share of the project cost will be counted against new requests for state discretionary funding. DOAV will maintain an accounting of project activity outside of normal project expenditures. When a sponsor for an air carrier airport requests state discretionary spending, the balance in the accounting will be deducted from the state's share of the requested project. Projects will be retained in the accounting until they are deducted from a state discretionary request or they have been on record for three fiscal years, at which time the projects will be removed from the accounting.

Projects that are considered outside of normal project expenditures include but are not limited to:

- aviation promotion projects
- air service development projects
- landside passenger shuttles
- recurring operational costs
- airport personnel salaries and benefits

3.1.2 Aviation Special Fund

The Aviation Special Fund (ASF) is used for facilities and equipment, maintenance, general aviation security, aviation promotion, and air service development programs. Under *Code of Virginia* §5.1-52, taxes on aviation fuel and fees for licensing airports and aircraft are revenue sources for the ASF.

3.2 Federal Aviation Funding Resources

Federal funding allocations are based on an airport's inclusion and service role in the National Plan of Integrated Airport Systems (NPIAS) developed by the Federal Aviation Administration. Like the state aviation funding resources, federal entitlement and discretionary funds are available to eligible sponsors.

Code of Virginia §5.1-47 requires airport sponsors to submit a copy of their federal six-year plans and project applications to DOAV for approval prior to submitting the plans and applications to FAA.

3.3 Utilization of State and Federal Funding Resources

The VAB and DOAV strongly encourage eligible sponsors to maximize the availability and use of federal funding by maintaining a current federal six-year capital improvement plan and applying for federal funding for eligible projects. The General Assembly's *Appropriations Act* specifies that it is legislative intent for DOAV to match federal funds to the maximum extent possible.

DOAV may participate in the funding of a project with an airport sponsor either as part of a federally funded project or a state funded project. For most federally funded projects, DOAV and the sponsor will each fund a share of eligible costs not covered by the federal participation. DOAV's funding portion is 60 percent of the non-federal share when state discretionary funds are involved. For most state funded projects, DOAV and the sponsor will each fund a share of eligible costs. State participation percentages are based on the type of project undertaken and the state funding program used for the project. For example, DOAV may participate in a site preparation construction project at a rate of 80 percent and a general aviation security sign installation project at a rate of 90 percent. [A summary of state participation rates by general project categories is provided in Appendix F State Participation Rates.](#)

Airport sponsors should carefully consider available state and federal funding programs and identify the best funding resources that fit their *VATSP* service roles and individual airport needs. Table 1 gives an overview of which state and federal funding programs are available to sponsors, based on airport roles within the state and federal airport systems. [Airport roles are provided in Appendix G Airport Classification Roles.](#)

Table 1
Funding Program Eligibility Based on Airport Role

Funding Programs	Airport Role				
	Air Carrier	Reliever	General Aviation (NPIAS)	General Aviation (non-NPIAS)	General Aviation (non-NPIAS) Local Service
Federal Primary Entitlement	X				
Federal Non-primary Entitlement		X	X		
Federal Discretionary (Apportionment/Discretionary)	X	X	X		
State Entitlement	X				
State Discretionary	X	X	X	X	limited*
Facilities and Equipment	X	X	X	X	limited*
Voluntary Security		X	X	X	X
Maintenance	X	X	X	X	X

*Local service general aviation airports are eligible only for safety and preservation projects under the State Discretionary Program and the Facilities and Equipment Program.

3.4 Utilization of Insurance Resources

If a sponsor is applying for funding to rebuild or repair facilities or equipment covered by insurance, state participation would be 80 percent of either the sponsor's deductible or the uninsured amount, whichever is less. For terminal buildings, the state's participation is based on the public-use space ratio. If only a portion of the terminal building is involved, the state's participation is based on the percentage of public-use space in that area. For whole building systems for terminal buildings, the state's participation is based on the public-use space ratio. When requesting funding relating to an insured facility or piece of equipment, sponsors must provide DOAV with supporting documentation that shall include a written response from the insurer detailing what work and equipment is covered, or, if the insurer declines a sponsor's request, why the insurance is not covering the needed work or equipment.

3.5 Disposal of Property Acquired with State Resources

Sponsors may no longer need property originally acquired with state funds to meet their aeronautical and operating needs. Examples of this property are land, maintenance equipment, and loading bridges. If a sponsor disposes of such property and receives a payment from the sale of the property, the sponsor must reimburse the state with a percentage of the selling price. That percentage must match the state's participation rate in the grant agreement for the property.

When a sponsor transfers property to another Virginia airport that has a need and the sponsor receives compensation, the sponsor must provide DOAV with 50 percent of the value of the compensation within 30 days of its receipt.

When a sponsor uses a piece of equipment as a trade-in towards the purchase of new equipment, the credit given by the vendor must be applied to the state's share of the purchase. The percentage applied to the state's share must match the state's participation rate in the purchase of the original equipment.

4.0 Sponsor Eligibility

The threshold criterion for determining sponsor eligibility to receive state funding is inclusion in the *Virginia Air Transportation System Plan*. In order for an airport sponsor to be eligible to request and receive state discretionary, facilities and equipment, general aviation security, and maintenance funding, the following criteria must be met:

- An airport shall be licensed by the Commonwealth of Virginia and be open to the public in accordance with *Code of Virginia* §5.1-7 and the *Virginia Aviation Regulations*.
- An airport shall be designated a “system airport” in the *VATSP* or the sponsor is deemed eligible by the written opinion of the state Office of the Attorney General, made in conjunction with the Virginia Department of Aviation’s recommendation.
- An airport sponsor shall have an airport layout plan approved by DOAV and the Federal Aviation Administration as appropriate.
- An airport sponsor shall be in compliance with all minimum requirements for licensing as defined in *Virginia Aviation Regulations* 24 VAC 5-20-140.
- An airport sponsor shall have submitted an *Annual Based Aircraft Survey* to DOAV no later than January 31 of each year in accordance with *Virginia Aviation Regulations* 24 VAC 5-20-350.
- An airport sponsor shall have submitted an *Annual Certificate of Financial Responsibility* to DOAV by January 31 of each year in accordance with *Code of Virginia* §5.1-88.7.
- An airport sponsor shall own the real property or have an adequate interest in the property on which a project will be undertaken, and the ownership or interest shall be clearly shown on an airport property map approved by DOAV and FAA as appropriate.
- An airport sponsor shall have a current six-year Airport Capital Improvement Plan (ACIP) on file with the department in order to receive any state discretionary funds.
- Public entities interested in developing new airports must be designated as a sponsor in writing by the state Office of the Attorney General before becoming eligible to receive state funding.

In order to receive state entitlement funds, the sponsor of an air carrier airport must provide DOAV with a one-time certification to ensure that the sponsor:

- is eligible for state entitlement funds
- will spend state entitlement funds in accordance with *Code of Virginia* §5.1-2.16

In order to receive state discretionary funds, the sponsor of an air carrier airport must provide DOAV with an annual accounting of the expenditure of state entitlement funds, including accrued interest, within 30 calendar days after the close of the fiscal year. This reporting is in addition to the criteria listed above.

4.1 Special Eligibility Requirements

In addition to the criteria listed above, several special eligibility requirements apply to sponsors of privately owned public-use airports. These include credit line deeds of trust, credit line deed of trust notes, and assumption agreements.

4.1.1 Credit Line Deed of Trust

To protect the Commonwealth's investment in privately owned public-use airports, the Virginia Aviation Board has determined that a credit line deed of trust will be entered into between the department and airport sponsor before the sponsor is eligible to receive a grant agreement. Prior to requesting grant funding, the sponsor will submit a written request to DOAV for a credit line deed of trust. The total value of the credit line deed of trust equals department-funded improvements plus the current appraised value of the airport real property. The limit does not necessarily have to be as high as the current appraisal value. The department will assess the cumulative value of possible future grants from the sponsor's six-year ACIP in consultation with the sponsor to arrive at a value that will be used. **Any costs associated with establishing the value of the property will be borne by the sponsor.**

The department will prepare a credit line deed of trust for the sponsor, who in turn will execute the document and return it. The department will have the credit line deed of trust recorded in the appropriate jurisdiction(s). All fees related to the actual recordation plus the department's attorney fees related to the preparation of the credit line deed of trust, not to exceed \$500, will be borne by the sponsor.

Once the total value less amortization of all executed grant agreements equals the value of the original credit line deed of trust, a new credit line deed of trust will be required.

4.1.2 Credit Line Deed of Trust Note

The credit line deed of trust note is the instrument that is used to draw funding from the original credit line deed of trust. A trust note is required for each grant offer made to a privately owned public-use airport. DOAV will provide a trust note with each grant offer.

4.1.3 Assumption Agreements

When ownership of an airport changes, the new owner must assume responsibility for all existing agreements or reimburse the Commonwealth the balance of the amortization. Examples of such agreements are grant agreements and credit line deeds of trust.

The department will draft an assumption instrument that denotes the conditions of the agreement. The new owner must complete the assumption agreement within 60 days of the purchase of the airport. Failure by the new owner to execute the assumption agreement will jeopardize the transfer of the airport license and future funding eligibility.

5.0 Project Eligibility Requirements

Each project must meet the following basic eligibility requirements:

- The proposed project must be shown on an airport layout plan approved by DOAV and FAA as appropriate.
- A sponsor shall provide documentation of environmental coordination and the findings and acceptance from the appropriate authorities on all environmental actions for a project as required by federal or state law. This documentation could be a Categorical Exclusion (CAT EX), a Finding of No Significant Impact (FONSI), a Record of Decision (ROD), or a Coastal Zone Resource Management (CZRM) determination if applicable.
- If a sponsor is applying for state funds to match a federal project that requires a benefit cost analysis, the analysis must be approved by FAA before DOAV will offer a favorable recommendation on the project.

In addition to these basic eligibility requirements, prerequisites are required for many projects. For example, a security improvement project for a general aviation airport must be identified on an approved security plan in order to be eligible for state funding.

Projects to bring an airport into compliance with the state's minimum airport licensing standards as stated in *Virginia Aviation Regulations 24 VAC 5-20-140* are eligible.

5.1 Obstructions

For airports that have FAR Part 77 and/or *Virginia Aviation Regulations 24 VAC 5-20-140* obstructions and cannot meet *FAA Advisory Circular 150/5300-13*, the sponsor is not eligible for Commonwealth Airport Fund or Aviation Special Fund discretionary funding. Exceptions will be allowed for the following types of projects:

- obstruction removal and/or mitigation projects
- planning projects to identify and/or mitigate obstructions
- security plan and audit projects
- projects deemed by the VAB and/or DOAV as critical to the safety of the flying public or as an emergency

If an airport has unmitigated obstructions, the sponsor may request an exception from the VAB; however, the sponsor must submit a project request to compete for funding at the next VAB meeting and demonstrate a mitigation plan.

Airport sponsors must certify their obstruction status each time they request funding. They will be able to use one of the following sources, which are valid for 24 months, to support their certification:

- licensed surveyor's report
- FAA 5010 survey
- state airport license survey
- PhotoSlope survey
- obstruction analysis element of an airport layout plan update
- FAR Part 139 inspection, for air carrier airports only

If an airport has unmitigated obstructions, the airport sponsor cannot receive new funding from any of the state programs except for projects to mitigate the obstructions; however, the airport sponsor may request a funding increase to an existing tentative allocation or grant.

5.2 Design and Construction Standards

DOAV intends that FAA standards and specifications be used in the design of air facility infrastructure, including facilities and equipment, where not specified in the *Virginia Aviation Regulations* 24 VAC 5-20-140. Airports not included in the NPIAS should use design standards set in the *Virginia Aviation Regulations* and Virginia Department of Transportation (VDOT) construction standards. The department may allow or, for federally funded projects, seek FAA approval to use VDOT construction standards and specifications for general aviation airport infrastructure development on a case-by-case basis. The state's minimum airport licensing standards for airports are provided in Appendix E Minimum Standards for Licensing, and the VDOT construction standards can be found online at www.virginiadot.org.

5.3 Local Service Airports

Only safety and preservation projects are eligible for local service airports, with the exception of terminal buildings and fuel systems. Eligible safety and preservation projects include but are not limited to:

- pavement rehabilitation
- obstruction removal to meet visual approach standards as stated in *Virginia Aviation Regulations* 24 VAC 5-20-10 and *Code of Virginia* §5.1-7 and the acquisition of easements needed for such obstruction removal
- lighting system rehabilitation

Examples of projects not eligible at local service airports are acquisition of land, acquisition of easements to meet standards higher than visual approach standards, and hangar site development.

The costs for improvements needed for a local service airport to transition to a general aviation community airport must be borne by the sponsor. Prior to initiating improvements, the sponsor should first receive DOAV approval.

6.0 Airport Capital Program

The Airport Capital Program provides funding for planning and engineering projects that focus on airport facility development. In general, these projects include master plan and airport layout plan studies, environmental studies, land acquisition, airside facility design and construction, and terminal building design and construction.

It is the intent of the Virginia Aviation Board that sponsors properly plan for airport development. Long-range planning, typically for a 20-year horizon, is conducted through the development of airport master and layout plans. Planning for the near term is guided by the six-year Airport Capital Improvement Plan (ACIP) that identifies and prioritizes projects for facility development. This tool phases proposed projects over a six-year period and provides cost estimates, anticipated sources of funding, and justifications for project implementation. The projects listed in the six-year ACIP must be included on the airport layout plan and meet federal and state regulatory requirements.

The VAB requires an airport sponsor to have a current six-year ACIP on file with DOAV in order to be eligible to receive state discretionary funds. The information contained in these plans is important to the development and maintenance of a strong statewide airport system as the plans:

- establish an accurate assessment of Virginia's airport needs
- provide DOAV with specific information to use in developing an accurate and comprehensive six-year budgetary program
- assist DOAV in leveraging maximum federal funding for eligible sponsors and projects
- provide the VAB with specific requests for state funding

Normally, sponsors undertake six-year ACIP coordination during the third and fourth quarters of each fiscal year. Prior to the preparation of an ACIP, sponsors should hold a capital improvement planning meeting simultaneously with both DOAV and FAA, as appropriate. Airport sponsors may update their ACIP at anytime **through Airport IQ**. The VAB considers the majority of the eligible **project requests** during its first meeting of the fiscal year. Project **requests** may also be funded at subsequent VAB meetings during the fiscal year.

Air carrier airport sponsors who will not be requesting state discretionary funds do not need to file a six-year ACIP. However, these sponsors **must** have a six-year ACIP on file with DOAV in order to be eligible to receive state discretionary funds.

6.1 Project Eligibility

A wide range of development projects is eligible for the Airport Capital Program. Table 2 Examples of Eligible Airport Capital Projects lists many of the eligible projects, divided into general categories for reference. Details on specific projects

are provided in Appendix A Airport Capital Program Eligible Project Examples, information on terminal buildings is provided in Appendix B Terminal Buildings, and information on access and perimeter roads is provided in Appendix C Access Roads.

Table 2
Examples of Eligible Airport Capital Projects

General Category	Specific Projects
planning studies	<ul style="list-style-type: none"> • master plans • airport layout plans
environmental studies	<ul style="list-style-type: none"> • environmental assessments • wetlands delineations • environmental due diligence audits
land acquisition	<ul style="list-style-type: none"> • appraisals • negotiation services • fee simple purchase and easements • administrative and legal costs
design and construction of airside facilities	<ul style="list-style-type: none"> • grading, paving, and drainage for public-use, non-revenue producing facilities • clearing and grubbing for construction, obstruction removal, and equipment installation • airport rescue and fire fighting buildings • utilities for public-use facilities • maintenance equipment storage buildings • site preparation for hangar construction • construction, installation, and removal activities for fuel storage systems
design and construction of landside facilities	<ul style="list-style-type: none"> • grading, paving, and drainage for public-use, non-revenue producing facilities • access roads • relocation of non-airport related facilities
terminal buildings	<ul style="list-style-type: none"> • conceptual studies • design • construction

Projects that are revenue producing or will develop facilities for exclusive use by airport management or tenants are not eligible for the Airport Capital Program. Examples of ineligible projects include:

- exclusive use hangars
- restaurants and cocktail lounges
- concessionaire facilities
- airline ticketing areas
- baggage handling areas, except those that are not revenue producing such as areas used by the Transportation Security Administration (TSA)
- airport management offices
- day facilities for sleeping accommodations
- office space and air traffic control facilities for lease to the Federal Aviation Administration, TSA, or a fixed base operator (FBO)

In addition, projects related to facilities and equipment, general aviation security, maintenance, promotion, and air service development are not considered to be Airport Capital Program projects, as these programs are funded through the Aviation Special Fund. Sponsors should not list these projects as part of a six-year ACIP.

6.2 State Participation

Due to the limited availability of public funds and in an attempt to make funding available to as many sponsors as possible, the VAB has placed a limit on the total dollar amount of allocations an airport sponsor may receive in each fiscal year. No single airport sponsor may receive allocations totaling more than 25 percent of a current fiscal year's adjusted resources as determined by DOAV. After January 1, this funding limit is removed.

6.2.1 State Entitlement Funds

State entitlement funds can be used for any eligible project under the Airport Capital Program. Air carrier airports may use their state entitlement funds to cover 100 percent of the non-federal share of federal projects. This provision applies only to federally funded projects where state entitlement funds will be used solely to fund the entire non-federal amount. If state discretionary funds are combined with state entitlement funds for the non-federal share, the total amount of state funds allocated to a project cannot exceed 60 percent of the non-federal share.

When an airport sponsor or project is not eligible for federal funds or federal funds are not available, state entitlement funds may be used for state funded projects. For most state funded projects, state entitlement funds may be used for a maximum of 80 percent of eligible project costs. Local funds must be used for the remaining costs.

A summary of state participation rates by general project categories is provided in Appendix F State Participation Rates.

6.2.2 State Discretionary Funds

For a federally funded project where state discretionary funds will be used, the department participates at the rate of 60 percent of the non-federal share of eligible project costs. The federal share of these projects is 95 percent, so the state share is 3 percent and the local share is 2 percent.

When an airport sponsor or project is not eligible for federal funds or federal funds are not available, the VAB may allocate state discretionary funds to eligible projects. The participation rate varies depending on the type of project. For most state funded projects, the state participation is 80 percent of the eligible project costs. A summary of state participation rates by general project categories is provided in Appendix F State Participation Rates.

6.2.3 Multiple Funding Sources

In the event available federal money is not sufficient to meet a sponsor's needs and other sources are available, such as VDOT or the Department of Homeland Security,

the sponsor should seek the use of funds from those sources before applying for state aviation funding.

6.2.3.1 Insufficient FAA Funding When there are insufficient federal funds to complete programming of a federally funded project and the airport sponsor desires to use additional state discretionary or entitlement funds to finance the project, the project as a whole shall be separated into two grants. One grant will use the federal funding ratio of 95 percent federal and 5 percent non-federal, and the other grant will use the state funding ratio based on the type of project.

6.2.3.2 Application of Other Funding Sources Sponsors should maximize all other funding sources before applying for state funding. When there are multiple sources of funding available to finance a project, they will be applied in the following order:

- federal agencies, such as the Department of Homeland Security
- FAA
- state agencies and entities, such as VDOT and the Tobacco Commission
- DOAV
- airport sponsors

Sponsors seeking funding for access roads should maximize VDOT funds to the greatest extent possible prior to applying for federal and state aviation funding. VDOT funds are considered a state funding source and cannot be used as the local share of a project. The department will work with the sponsor to determine the overall funding levels for these projects.

6.3 Application Process

Sponsors will file six-year Airport Capital Improvement Plans (ACIPs) and submit project requests for funding through Airport IQ. Updated reference information pertaining to the preparation of ACIPs and project request submissions for an upcoming fiscal year will be provided to airport sponsors in the third quarter of each fiscal year. The information will also be posted on DOAV's website.

6.3.1 Filing of Airport Capital Improvement Plans

Sponsors are responsible for filing and maintaining their ACIPs in Airport IQ. Each project entry in an ACIP must include the fiscal year in which the project is to be undertaken and the estimated project costs. Projects that will not involve state funding, such as a revenue producing building funded with federal and local money or only with local money, may be included to provide a more complete description of capital improvement activity at an airport. Sponsors are urged to run an Airport IQ report of their ACIP entries for their records.

Sponsors will need to maintain their six-year ACIP by adding projects and estimated project costs for a sixth fiscal year at the end of each current fiscal year. If a sponsor does not have projects identified for outlying fiscal years, such as the fifth and sixth

years of the six-year cycle, the sponsor does not need to enter anything into Airport IQ for those years. Revisions to ACIPs can be made at any time. A copy of an airport's ACIP and any revisions should be provided to the FAA Washington Airports District Office.

6.3.2 Preparation of Project Scopes

Prior to submitting project requests, sponsors are encouraged to hold scoping meetings for all projects to identify precise work tasks and estimated costs. Participants in the scoping meetings can include representatives from FAA, DOAV, the sponsor, a consultant team, and local government offices.

For state funded projects, sponsors need to insure that scopes of work and related documents are approved by DOAV prior to the project request submission deadline.

- For state funded planning studies, environmental studies, and engineering designs, a sponsor shall provide a scope of work, including fee estimates and man-hours by task.
- For state funded construction projects, a sponsor shall provide bids and construction management fee estimates and man-hours by task.

Actual proposals must be presented when the scopes are submitted to DOAV for review and approval, including costs and man-hours for subconsultants. Should the prime consultant or subconsultant costs and man-hours justifiably change after funding has been approved by the VAB, sponsors can request an increase as described 6.6.1 Grant Amendments and Change Orders.

For federally funded projects, the sponsor must to provide DOAV with a copy of the scope of work as described above for planning studies, environmental studies, and engineering designs.

6.3.3 Submission of Project Requests

When a sponsor is ready for a project to be presented to the VAB for consideration, the project needs to be submitted for evaluation through Airport IQ. The submission process involves revising the ACIP project entry as needed and providing the required supporting documentation.

Sponsors may submit project requests for consideration at regularly scheduled meetings of the VAB at any time during the fiscal year, except for the 72-hour period after the due dates for Airport Capital Program submissions. The submissions must be completed by midnight of the due date. A schedule of submission due dates and the dates of the VAB meetings is provided to sponsors as part of the resource information distributed in the third quarter of each fiscal year and is posted on DOAV's website.

With the exception of emergency requests and missed opportunities as described in Section 6.7 Special Requests for Airport Capital Program Funding, project requests received after a submission deadline will not be considered for funding at the

upcoming VAB meeting. The sponsor will need to submit the project request for a subsequent meeting.

Each project request entry must include the fiscal year in which the project is to be undertaken and the estimated project cost, listed by federal, state, and local funding sources as appropriate. Cost estimates should be as accurate as possible so a sponsor does not have to seek additional funding from the VAB at a later date or that the VAB does not obligate more funds than those needed to complete a project. The cost estimate should include a sponsor's administrative costs.

The following supporting documentation must be submitted with the project request:

- a project narrative that provides the justification for the project and information on the work required to implement the project
- a sketch that graphically depicts the proposed projects as they relate to the airport layout plan
- documentation of environmental coordination and findings

The narrative must provide the justification for the project and information on the work required to implement the project. Sponsors are strongly urged to provide focused and factual information in the narrative so the review process can proceed in a timely manner and priority scoring can be as accurate as possible. A sponsor's administrative costs should be noted in a narrative. Sketches must be sized to 8 ½ by 11 inches. One narrative document and one sketch may be used for all project requests being submitted for a VAB meeting. Large environmental documents, such as a **Form C or Environmental Assessment**, should be referenced in the narrative instead of submitted with the project request.

A certification of an airport's obstruction status is required for all project requests. The narrative should identify the source of the certification, as discussed in 5.1 Obstructions. If this source is not on file with DOAV, the source must be submitted with the supporting documentation.

The supporting documents must be submitted through Airport IQ. The documents may be in Word, Excel, or PDF formats.

After a project request has been submitted, the only changes DOAV will accept are a project cost change, either an increase or decrease, or changes to scopes for projects that have previously received a tentative allocation. A sponsor needs to notify DOAV in writing of a project cost decrease as soon as it is known so the cost difference can be available for other projects. If a sponsor identifies an error after the project has been submitted, the sponsor needs to provide DOAV with a written notification of the error. DOAV will make the appropriate changes and corrections to the project request. If a sponsor wants or needs to withdraw a project request after it has been submitted to DOAV for evaluation, the sponsor must provide DOAV with a written withdrawal request, submitted by mail or email.

Projects included in ACIPs that will not involve state funding, such as a revenue producing building funded with federal and local money or only with local money, should not be submitted for consideration.

6.3.4 Air Carrier Application for State Discretionary Funds

If an air carrier airport sponsor believes it may need state discretionary funds during a fiscal year, the sponsor should file an ACIP through Airport IQ. When it is determined that state discretionary dollars are needed to fund projects for that fiscal year, the sponsor needs to submit all project requests for the fiscal year. The appropriate supporting documentation for all project requests must also be submitted. The submissions need to be made in accordance with 6.3.3 Submission of Project Requests. In addition, scopes need to be provided as described in 6.3.2 Preparation of Scopes.

6.4 Evaluation and Selection Process

DOAV carefully reviews each project request and prioritizes projects as part of the evaluation process. Recommendations are made to the VAB for action.

6.4.1 DOAV Review

DOAV will review each submitted project request upon receipt for initial eligibility and will contact the sponsor if required supporting documentation is missing. A sponsor's failure to provide any of the required supporting documentation so requested by DOAV within 5 business days of notification will result in a departmental recommendation to disapprove a project request.

DOAV will then review the submitted project requests to ensure they meet the intent of the *Virginia Aviation Regulations* and VAB policies. This review includes determining if sponsor and project eligibility requirements are satisfied. DOAV uses the checklist in Table 3 DOAV Project Review Checklist as a guide for its review. Sponsors should use the checklist as a review tool when preparing project requests and supporting documentation.

Table 3
DOAV Project Review Checklist

- | |
|--|
| <ul style="list-style-type: none"> • Is the project shown on the approved ALP, and does it conform to the approved ALP? • Is environmental coordination required, and if so, has a finding been received? • Is a determination of coastal zone consistency required, and if so, has the finding been received? • Is the sponsor eligible for this project, based on the airport's service role? • Has DOAV approved the scope of work or bids for a state funded project? • Has the cost estimate been verified? • Do surfaces meet applicable obstruction standards? • Does the airport meet minimum standards for licensing? • What is the rating on pavement condition index, if applicable? |
|--|

Any **additional** supporting documentation requested by the department as part of this review process shall be provided within **five** business days of the request. A sponsor's failure to provide the **additional** supporting documentation within **five** business days of the department's request will result in a departmental recommendation to disapprove **a project request**.

6.4.2 Project Priority Scoring

The next evaluation step utilizes a project priority system that was developed by DOAV and airport sponsors and adopted by the VAB. The intent of the system is to objectively prioritize statewide needs so financial resources can be allocated to the highest priority projects. With this system, each project is scored on:

- a project's merit, such as safety and capacity
- an airport's activity and role within the state system
- a sponsor's responsibility for maintenance and protection of the airport

The current scoring criteria and priority points are shown in Appendix D Project Priority System Scoring Values. **Discretionary points are awarded to projects for which the local funding participation exceeding minimum requirement. One point is assigned for each percent exceeding the minimum requirement.**

When **an air carrier** airport sponsor wants to use state discretionary funds in addition to state entitlement funds, the project priority system is applied to all the **project requests submitted as described in 6.3.4 Air Carrier Application for State Discretionary Funds**, resulting in a prioritized list of projects. All of the sponsor's state entitlement funds will be **allocated** to the highest priority **project requests** on the list. State discretionary funds will then be **allocated** to the remaining **project requests**. **Funding amounts will be adjusted in accordance with 3.1.1.3.1 Entitlement Only Projects and 3.1.1.3.2 Projects Outside of Normal Expenditures.** All of the project requests will be presented to the VAB for consideration.

6.4.3 Recommendations and VAB Action

DOAV uses the scoring from the project priority system and its individual **project request evaluations** to make recommendations to the VAB. DOAV is required to provide the recommendations to members of the VAB at least **10 business days** prior to regular VAB meetings. These recommendations are posted on the department's website for VAB member and sponsor review.

DOAV recommendations are formally presented to the VAB at its regular meetings. VAB approval of a **project request** constitutes the issuance of a tentative allocation of funds, contingent on the sponsor certifying that local funds are available to support the cost of the proposed project. The VAB may disapprove **project requests due to the lack of available state funding, incomplete technical elements of the project request, or unmet eligibility criteria.** **All disapproved project requests will be returned to sponsors through Airport IQ.** For **project requests disapproved due to incomplete technical elements of the request or unmet eligibility criteria**, the sponsor should work with DOAV to resolve outstanding issues prior to **submitting the revised project requests and supporting documentation.** For disapprovals based on funding

limitations, sponsors should resubmit the project requests and supporting documentation for the next scheduled VAB meeting. The project request and supporting documentation should be revised as needed.

6.5 Agreement Process

The agreement process begins with notifications to sponsors of tentative allocations. These in turn lead to a sponsor's submission of a grant application and DOAV's offering of a grant agreement.

6.5.1 Tentative Allocations

After each VAB meeting, DOAV sends a notification memorandum to airport sponsors listing which projects were approved and disapproved. The notification directs sponsors to submit grant applications for approved state funded projects to DOAV within 60 calendar days of the notice of approval and reminds them that outstanding tentative allocations for state funded projects may be withdrawn by the VAB. The notification also reminds sponsors that no state reimbursements will be made until a grant has been executed for a project.

If a sponsor finds that the amount of the tentative allocation is not sufficient to produce a useable deliverable from the project's scope of work, the sponsor should contact the assigned DOAV planner or engineer immediately. If a project's cost increases after the VAB has awarded a tentative allocation and before a grant agreement is executed, a sponsor may request an increase in the allocation. DOAV will review the justification for the cost change and may increase the tentative allocation by up to 10 percent, contingent on the availability of state funds. Requests for tentative allocation increases exceeding 10 percent must be presented to the VAB for action at a regularly scheduled meeting. The request must be submitted through Airport IQ as a project request for the amount of the increase only. The appropriate supporting documentation must also be submitted. A sponsor may receive an increase on a state funded project from either DOAV or the VAB; a sponsor may not receive an increase from both entities for the same tentative allocation.

Before each VAB meeting, DOAV reviews the status of existing tentative allocations. If the DOAV review determines that no activity on the state project application process has taken place within 60 calendar days of the issuance of the tentative allocation, the department will request that the sponsor return the tentative allocation or recommend to the VAB at the meeting following the issuance of the tentative allocation that the tentative allocation be withdrawn so the funds can be allocated to another project. The recommendations are given to the VAB before each meeting for action during that meeting. DOAV will notify affected sponsors of its recommendations prior to a VAB meeting. Sponsors should take the necessary actions to execute grant agreements so the funds will not be withdrawn.

If an airport sponsor determines that it cannot act on an existing tentative allocation, the sponsor must notify the department as soon as possible so the funds can be returned to the Commonwealth Airport Fund for allocation to eligible projects.

6.5.2 Grant Applications

A sponsor should submit a grant application for a state funded project to DOAV within 60 calendar days of the written notification of a tentative allocation. The application should provide a description of the project, a summary of funding sources with amounts, a summary of project costs, and a completion date for the project. The application form must be signed and dated.

6.5.3 Grant Agreements

Upon receipt of a properly completed grant application, DOAV will prepare a grant agreement for the project. The purpose of a grant agreement, that when executed becomes a contract between the sponsor and the Commonwealth of Virginia, is:

- to meet the requirements of *Virginia Aviation Regulations* 24 VAC 5-20-330
- to ensure that all parties are aware of their respective responsibilities
- to ensure that grant funds are properly controlled and returned if not required to complete a project

Grant agreements will be offered after DOAV has approved contracts for services and actual costs have been determined. This process is used to prevent wide discrepancies in project cost between grant agreement execution and project implementation.

Each grant agreement contains an offer and acceptance. The offer identifies the airport, the sponsor, the project, and the state share of eligible project costs. The majority of the offer states the terms and conditions for the grant, which include state grant amounts, federal grant amounts if applicable, expiration dates for the offer and the agreement, and sponsor responsibilities during and after the implementation of the project.

Key elements in the offer are the assurances, which are statements of sponsor obligations that become effective when an agreement is executed. All grants contain certain administrative assurances, such as obtaining goods and services in accordance with the *Virginia Public Procurement Act*. Other assurances will differ depending on the type of project and sources of funding. For example, a terminal building construction grant agreement will identify the public-use, non-revenue producing areas paid for with Commonwealth Airport Funds, and the assurance guarantees that these areas shall be open to the public during normal business hours. As another example, when land acquired with state funds is no longer required for aeronautical purposes, disposal of the property is allowed, and the assurance provides for the disposition of the proceeds of the sale and the covenants that must be attached to the sale. The VAB and DOAV reserve the right to establish additional assurances based upon specific project requirements, changes in regulatory guidance, or changes in eligible projects.

When a sponsor accepts the offer and agrees to all the terms and conditions contained in the grant agreement, the sponsor must sign and date the acceptance portion of the grant agreement. The sponsor's attorney is required to complete the certification within the acceptance portion of the grant agreement. A grant agreement

becomes effective upon full execution of the acceptance portion of the grant agreement.

6.6 Project Implementation

After a grant agreement has been executed, work on a project may begin. **All supplemental agreements and change orders must be approved by the department prior to the subject work being initiated.**

6.6.1 Grant Amendments and Change Orders

At any time during the course of a project, the grant agreement between the sponsor and the Commonwealth of Virginia may be amended for justifiable cause, such as a change in the grant amount, a change in the scope of work, or an extension of the expiration date. When a sponsor becomes aware of conditions that will necessitate a change, the sponsor should immediately submit to DOAV a written request for a grant amendment. **The written request must include the following information:**

- grant number and project name
- current expiration date if time extension is part of the request
- reason for the request including an explanation for why the project will not be finished by the grant expiration date or with the allocated funds
- plan of action and revised project schedule for completion of the project

DOAV can approve an administrative cost increase up to 10 percent of the total grant amount, except in the case of multi-year funding. If a requested funding increase exceeds 10 percent or modifications to the original scope of work for a state funded project are needed, the request must be presented to the VAB for action at a regularly scheduled meeting **as described in 6.5.1 Tentative Allocations**. A sponsor may receive an increase from either DOAV or the VAB; a sponsor may not receive an increase from both entities for the same grant. All increases are contingent on the availability of funds.

The VAB has given DOAV the authority to approve changes in the original scope of work for a federally funded project as long as the grant amount does not change.

A change order will be addressed by DOAV at the time the change order is requested. Increases or decreases to a grant will not be addressed until project completion, and increases are subject to the availability of funds.

6.6.2 Reimbursement

A sponsor may request reimbursement for partial payments based on the percentage of work completed or actual costs incurred. The department **requires** that partial reimbursement requests for less than \$1,000 not be made for capital projects. Final or one time reimbursement requests do not have a minimum dollar amount limit. The requests must be made using DOAV's *Request for State Fund Reimbursement Form*. The form must bear the sponsor's original signature and date to certify acceptance of

the costs from consultants and contractors. The form must be submitted with the required supporting documentation, such as a consultant or contractor invoice.

DOAV will review the reimbursement form and supporting documentation. If the submittal is acceptable, payment will be approved. If the submittal is not acceptable or complete, DOAV will contact the sponsor by telephone or email to discuss errors or omissions. If the sponsor cannot be contacted within five business days, the reimbursement package will be returned with a written notification that explains any discrepancy. DOAV will withhold or reduce any reimbursement payment until discrepancies are resolved.

Work completed prior to the execution of a grant agreement will not be eligible for reimbursement, with the following exceptions for which the department may provide reimbursement:

- acquisition of land necessary for approved airport development where the land is acquired in accordance with state and federal requirements and the services for such acquisition
- engineering design that had been approved for a project
- approved preliminary engineering work required to develop a final scope of work for a planning or engineering project, **such as surveys, geotechnical work, solicitation of proposals, fee negotiation costs, and DBE related costs as part of federally funded projects**

DOAV will not reimburse a sponsor for more than 90 percent of a grant amount until it notifies the sponsor of receipt of acceptable deliverables or final acceptance of construction. Requests for reimbursements exceeding 90 percent of the grant should not be submitted to DOAV if the approval on project completion has not been given by DOAV. Such requests will be returned to the sponsor for correction and resubmission, thereby delaying the sponsor's receipt of the funds. After the receipt of acceptable deliverables or final acceptance of construction, DOAV will accept a reimbursement request for the remainder of a project's cost.

Additional guidance on reimbursement payments includes:

- For federally funded construction projects, contractual work to be accomplished up to 30 days in advance may be included in a reimbursement request. This may include costs for construction materials bonded and delivered to a job site.
- For land acquisition, reimbursement for 90 percent of the grant amount will be made to the sponsor prior to closing. The balance will be reimbursed, upon request, after deeds are recorded and certificates of title have been provided to DOAV.
- For projects at air carrier airports for which both state entitlement and discretionary funding have been approved, sponsors must spend all of their state entitlement funds before they may submit a reimbursement request for the state discretionary funds.

- For federally funded projects, the final state request for reimbursement will be processed upon verification of the final FAA approved project cost.

6.6.3 Project Close-out

A close-out letter will be sent to the sponsor confirming that the project is complete and all payments have been made, unless an audit will be performed as described below. Final inspections will be required prior to project close-out for construction projects.

An audit may be conducted on a project. If an audit is conducted, the sponsor will receive written notification of the outcome and any adjustments that need to be made. For federally funded projects, DOAV accepts the audit conducted by the federal Office of the Inspector General. After an audit, a closeout letter will be sent to the sponsor.

6.7 Special Requests for Airport Capital Program Funding

An airport sponsor can make a special request for state financial assistance after the submission due date or for complex projects that will take several years to complete. The VAB and DOAV have adopted the following procedures to accommodate these special requests.

6.7.1 Emergency Request

An emergency is defined as an occurrence or event that threatens or may threaten life or property. Upon receipt of an emergency request from a sponsor, DOAV's director has the authority to declare that an emergency exists and will notify the chairman and the VAB member who represents the sponsor. From this notification, the director shall initiate, per *Code of Virginia* §2.2-3708(F), an electronic meeting of the VAB, that should include the chair and the representative VAB member, during which, if at all possible, a tentative allocation can be awarded. In the event that the emergency is dire, and so documented in the meeting minutes, and a quorum cannot be obtained, any two VAB members may authorize the director to issue a tentative allocation with a requirement that the tentative allocation be placed on the agenda of the next regularly scheduled VAB meeting so the tentative allocation can be noted formally as awarded by the VAB. A project request with appropriate supporting documentation will need to be submitted through Airport IQ for presentation at the following VAB meeting. Scopes and bids as appropriate must also be submitted.

6.7.2 Missed Opportunity

An airport sponsor may not be aware of a need to request financial assistance until after the submittal due date. The situation generating the need may result in a missed opportunity, such as attracting an industry or enhancing air service; the need is not an emergency. The sponsor must provide to DOAV a written explanation of the cause of the missed opportunity. Upon receipt of a missed opportunity request by a sponsor, DOAV will contact the VAB chair and member representing the sponsor. They will determine if the project request justifies consideration by the VAB at its next regularly scheduled meeting. The sponsor will be notified of this decision.

If the decision is to let the project be considered by the VAB, a **project request with supporting documentation** must be submitted immediately **through Airport IQ** for DOAV review and presentation to the VAB. **In addition, for state funded projects, a scope of work or bids need to be submitted for approval.**

It must be noted that the late submittal of a project request from a sponsor does not constitute a missed opportunity. Late submittals will not be considered for funding at the upcoming VAB meeting.

6.7.3 Multi-Year Funding

In order to provide financial assistance for projects that are of such a magnitude that they would exceed maximum allowable annual funds, VAB policy allows for multi-year programming of state entitlement and discretionary funds. Multi-year allocations are based on estimated revenues and allocations to the Commonwealth Airport Fund. If revenues do not meet estimates or if future year estimates are revised downward, a multi-year allocation may be adjusted. **The funding limit for a single airport sponsor as a percentage of a fiscal year as described in 6.2 State Participation is still applicable when a multi-year project is involved.**

When state discretionary funds are requested for multi-year funding, the **project request will need to be made through Airport IQ, using the first year of funding as the estimated project cost. The narrative for the project request needs to include the funding strategy for the life of the project.** Upon approval by the VAB, a tentative allocation will be issued that specifies the years for which funding is approved and the amount approved for each year. The sponsor will need to submit a grant application **for the first year of the project** that includes actual costs. DOAV will issue **a grant agreement for only the first year of the project.** A project evaluation will be conducted each year of the multi-year time period. In the evaluations, the scope of work will be reviewed and compared with actual work accomplishments, cost information will be updated, and available funding will be determined. The grant agreement will be amended for subsequent year allocations upon sponsor request, and the amendments will be based on the annual project evaluations.

6.7.4 Bridge Loan

Because federal and state funding cycles do not coincide, sponsors may request the VAB to fund a project in anticipation of federal reimbursement in a subsequent federal fiscal year. In these cases, the VAB may fund the project at the appropriate ratio based on the scope of work, and DOAV will include in the grant agreement an assurance specifying reimbursement to the Commonwealth of Virginia. Typically, these projects are for federally eligible projects that are reimbursable after the fact, such as land acquisition. This type of project is referred to as a bridge loan.

Within **60 calendar** days of the receipt of the **state** grant offer for the bridge loan, the sponsor must submit a **request to FAA to update its Airport Improvement Program to include the project.** Within **60 calendar days** of the receipt of reimbursement from FAA, the sponsor must reimburse the department the **difference between the state's share of the eligible costs of the state funded project and the state's share of the eligible costs of the federally funded project.** For example, the state's share of a

state funded land acquisition bridge loan project with eligible costs of \$100,000 is 80 percent or \$80,000. The state's share of the federally funded land acquisition project with eligible costs of \$100,000 is 3 percent or \$3,000. The difference between 80 percent and 3 percent is 77 percent; therefore, within 60 calendar days of the receipt of the reimbursement from FAA, the sponsor would have to reimburse the state for 77 percent of eligible project costs or \$77,000.

The sponsor must fully reimburse the department within 48 months from the date of the grant offer or request an extension of the payment period from the VAB. Failure to do so will find the sponsor non-compliant with grant assurances and may affect future funding.

6.7.5 Facilities and Equipment, Security, and Maintenance Project Requests

When funds are not available under the Facilities and Equipment Program, the Voluntary Security Program, or the Maintenance Program or a sponsor has reached its \$100,000 fiscal year limit for maintenance allocations per airport, a sponsor may submit project requests under the Airport Capital Program for consideration by the Virginia Aviation Board. The projects must meet the eligibility requirements of the appropriate program, and the required supporting documents for the Airport Capital Program must be provided as part of the project request submission.

In such a case for a Part 139 airport, if the Virginia Aviation Board specifically approves the use of state entitlement funds for proposed facilities and equipment or maintenance projects, a sponsor will not be subject to the fiscal year approval restriction and reimbursement requirement described in 3.1.1.3 Air Carrier Utilization of State Entitlement and Discretionary Funds.

7.0 Facilities and Equipment Program

The Facilities and Equipment Program (F&E) provides funding for the installation of electronic communication, navigation, and information systems that enhance the safety of flight and the utilization of Virginia's air transportation system. The Virginia Department of Aviation will own and maintain some of these systems, and for others, a sponsor will have ownership and be responsible for system maintenance.

The Virginia Aviation Board has delegated the authority to review and approve funding requests for the F&E Program to DOAV.

7.1 Project Eligibility

In addition to meeting overall criteria provided in Chapter 5 Project Eligibility Requirements, a project **must** be identified in DOAV's **current Virginia Facilities and Equipment Plan** to be eligible for this program. The types of systems and equipment eligible to be purchased and installed using F&E funds include but are not limited to, the following listing:

DOAV Owned	Sponsor Owned
<ul style="list-style-type: none"> • localizer • glide slope to complete a Category I instrument landing system (ILS) • distance measuring equipment (DME) • non-directional beacon (NDB) • medium intensity approach lighting system with runway alignment indicator lights (MALSR) • automated weather observation system networking system • UNICOM transceivers 	<ul style="list-style-type: none"> • visual aids such as: <ul style="list-style-type: none"> ◦ obstruction marking and lighting ◦ retro-reflective markers for taxiways and ramps ◦ centerline retro-reflective markers ◦ visual approach guidance aids ◦ rotating beacons ◦ wind cones ◦ segmented circles ◦ precision approach path indicators (PAPIs) ◦ runway end identifier lights (REILs) ◦ omni direction approach light system (ODALS) ◦ medium intensity runway lights (MIRLs) ◦ high intensity runway lights (HIRLs) ◦ medium intensity taxiway lights (MITLs) ◦ runway or taxiway closure lighted marking ("X") • weather observation system • pilot weather briefing systems • ground communication outlets (GCO) • pilot-controlled lighting (PCL) systems

7.1.1 Virginia Facility and Equipment Plan

The Virginia Facility and Equipment Plan is a component of VATSP. The plan assists DOAV, FAA, and sponsors by identifying new navigational aid improvements, along with the replacement and decommissioning of existing NAVAID equipment, over a 20-year period. The plan also addresses instrument approach criteria by airport role and the development of approach procedures for airports.

If an airport sponsor identifies an F&E need that is not addressed in the current plan, the sponsor may submit a written request to DOAV asking that the project be added to the plan. The request must provide justification and details for the project. DOAV will evaluate the request and, as appropriate, include the project as an addendum to the current plan.

7.2 State Participation

The state's participation in an F&E project depends on whether the system will be owned and maintained by DOAV or the sponsor.

7.2.1 DOAV Owned and Maintained Systems

When DOAV will own and maintain the F&E system, the department will pay 100 percent of costs associated with DOAV responsibilities. The sponsor will pay 100 percent of costs associated with sponsor responsibilities. DOAV and the sponsor have the following responsibilities for each project:

DOAV Responsibilities	Sponsor Responsibilities
<ul style="list-style-type: none"> conduct the pre-design conference design the system equipment procure the system equipment install the system conduct the final acceptance inspection conduct periodic inspections conduct ground inspections, flight inspections, and commissioning maintain the system equipment 	<ul style="list-style-type: none"> attend the pre-design conference provide an acceptable source of electrical power and communications service to the site as specified by DOAV acquire all land necessary for the facility and its operation, either by purchase or lease

7.2.2 Sponsor Owned and Maintained Systems

When the sponsor will own and maintain the F&E system, the state's funding share of the costs associated with the sponsor's responsibilities is 80 percent for state funded projects and 3 percent for federally funded projects. DOAV and the sponsor have the following responsibilities for each project:

52

DOAV Responsibilities	Sponsor Responsibilities
<ul style="list-style-type: none"> • attend the pre-design conference • review and approve engineering agreements • review and approve design plans • conduct inspections of the installation as required • approve requests for reimbursement 	<ul style="list-style-type: none"> • conduct the pre-design conference • design the system equipment • procure the system equipment • install the system • conduct the final acceptance inspection • conduct periodic inspections • conduct ground inspections, flight inspections, and commissioning • maintain the system equipment • provide an acceptable source of electrical power and communications service to the site as specified by DOAV

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7.2.3 Additional Sponsor Responsibilities

55 Regardless of the ownership of the system, the sponsor is responsible for:

56

- 57 • maintenance of the site (mowing, tree trimming and cutting, and removing
- 58 snow)
- 59 • recurring power and communications costs
- 60 • adequate insurance coverage of the system

61

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7.2.4 Utilization of Airport Capital Funds

63 When funds are not available under the Facilities and Equipment Program, a sponsor
 64 may submit project requests under the Airport Capital Program for consideration by
 65 the Virginia Aviation Board. The projects must meet the eligibility requirements of the
 66 Facilities and Equipment Program, and the required supporting documents for the
 67 Airport Capital Program must be provided as part of the project request submission.

68 In such a case for a Part 139 airport, if the Virginia Aviation Board specifically
 69 approves the use of state entitlement funds for proposed facilities and equipment
 70 projects, a sponsor will not be subject to the fiscal year approval restriction and
 71 reimbursement requirement described in 3.1.1.3 Air Carrier Utilization of State
 72 Entitlement and Discretionary Funds.

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74

7.2.5 Utilization of State Entitlement Funds

75 Sponsors of FAR Part 139 airports may use state entitlement funds for all projects
 76 eligible under the Facilities and Equipment Program. If sponsors use state
 77 entitlement funds for facilities and equipment projects, the sponsor shall not be
 78 eligible to receive approval for any funding from the Facilities and Equipment
 79 Program during the fiscal year in which the state entitlement funds are spent, except
 80 as otherwise described in 6.7.5 Facilities and Equipment, Security, and Maintenance
 81 Project Requests; 7.0 Facilities and Equipment Program; and Appendix A section
 82 A.11 facility and equipment, security, and maintenance projects. If it is determined
 83 during the review of an entitlement utilization report that a sponsor used state
 84 entitlement funds for facilities and equipment projects and also used Facilities and

Equipment Program funds for other eligible projects, the sponsor must reimburse the state for the Facilities and Equipment Program grants or allocations approved within that fiscal year.

7.3 Application Process

Sponsors will submit project requests for funding through Airport IQ. Each project request entry must include a project description and estimated cost, listed by federal, state, and local funding sources as appropriate. The cost estimate should include a sponsor's administrative costs.

The following supporting documentation must be submitted with the project request:

- scope of work
- quote or bid
- project schedule
- sketch

Actual proposals must be presented when the scopes are submitted to DOAV for review and approval, including costs and man-hours for subconsultants. Should the prime consultant or subconsultant costs and man-hours justifiably change after funding has been approved, sponsors can request an increase as described 7.6.1 Grant Amendments and Change Orders.

It should be noted that for DOAV owned systems, DOAV will obtain the cost estimate.

Project requests may be submitted at any time during the fiscal year, except for the 72-hour period after the due dates for Airport Capital Program submissions.

7.4 Evaluation and Selection Process

Upon receipt of a project request, DOAV will confirm that F&E funds are available for the request and will review the project request for completeness. If funds are available, DOAV will then review the project request to make sure all eligibility criteria are satisfied. If the criteria are met, DOAV will approve the project request.

DOAV will notify sponsors of its decision. The notification will specify the amount of funding allocated to the project and identify the scope of work in which DOAV will participate. For projects where DOAV will own and maintain the system, the notification will also include a schedule of work.

7.5 Agreement Process

A grant agreement between the sponsor and DOAV must be executed for all new F&E systems. The grant agreement contains certain assurances that are deemed necessary to protect the state's interest and investment in the equipment and the

airport. The grant agreement will also specify the sponsor's responsibilities as they relate to the operation and maintenance of the equipment and site.

The timing of the grant agreement execution depends on the ownership of the system and type of project:

- When the sponsor will own the system and the project is for design work only, a design grant agreement will be offered to the sponsor after the scope has been approved.
- When the sponsor will own the system and the project is for design and construction, a tentative allocation notification will be provided to the sponsor and design work can begin. Upon DOAV approval of the design and the sponsor's receipt of bids, the sponsor must submit to DOAV a **written** project application **request** with design plans and bids. A design and construction grant agreement will be offered to the sponsor.
- When DOAV will own the system, a letter regarding grant intent will be sent to the sponsor. After the design work has been completed, equipment has been purchased, and bids are received, DOAV will send the sponsor a design, construction, and equipment grant agreement.

Please refer to the agreement process section in Chapter 6 Airport Capital Program for details on grant agreements.

7.6 Project Implementation

The timing of work elements and reimbursement also depends on the ownership of the system and type of project:

- When the sponsor will own the system and the project is only for design, design work and bidding will begin after the grant agreement is executed. Reimbursements may be requested throughout the design and bidding process.
- When the sponsor will own the system and the project is for design and construction, design work and bidding may begin after the sponsor has received the tentative allocation notification. Construction may begin after the grant agreement is executed. Reimbursement for work beginning in the design phase may be requested after the grant agreement is executed.
- When DOAV will own the system, DOAV will handle the design, equipment purchase, and bid advertisement for the project. The sponsor needs to coordinate its construction responsibilities for electricity and communications service with the department's construction work so conflicts and delays are avoided.

7.6.1 Grant Amendments and Change Orders

At any time during the course of a project, the grant agreement for an F&E project may be amended for justifiable cause, such as a change in the grant amount or a change in the scope of work. When a sponsor becomes aware of conditions that will necessitate a change, the sponsor should immediately submit to DOAV a written request for a grant amendment. **The written request must include the following information:**

- grant number and project name
- current expiration date if time extension is part of the request
- reason for the request including an explanation for why the project will not be finished by the grant expiration date or with the allocated funds
- plan of action and revised project schedule for completion of the project

All grant amendments must be coordinated with DOAV prior to the subject work being initiated. All increases are contingent on the availability of funds.

A change order will be addressed by DOAV at the time the change order is requested. Increases or decreases to a grant will not be addressed until project completion, and increases are subject to the availability of funds.

7.6.2 Reimbursement

A sponsor may request reimbursement for partial payments based on the percentage of work completed or actual costs incurred. The department **requires** that reimbursements for less than \$1,000 be made for the full amount at the completion of the project. Final or one time reimbursement requests do not have a minimum dollar amount limit. The requests must be made using DOAV's *Request for State Fund Reimbursement Form*. The form must bear the sponsor's original signature and date to certify acceptance of the costs from consultants and contractors. The form must be submitted with the required supporting documentation, such as a consultant or contractor invoice.

DOAV will review the reimbursement form and supporting documentation. If the submittal is acceptable, payment will be approved. If the submittal is not acceptable, DOAV will contact the sponsor by telephone or email to discuss errors or omissions. If the sponsor cannot be contacted within five business days, the reimbursement package will be returned with a written notification that explains any discrepancy. DOAV will withhold or reduce any reimbursement payment until discrepancies are resolved.

DOAV will not reimburse a sponsor for more than 90 percent of a grant amount until it notifies the sponsor of receipt of acceptable deliverables or final acceptance of construction. Requests for reimbursements exceeding 90 percent of the grant should not be submitted to DOAV if the approval on project completion has not been given by DOAV. Such requests will be returned to the sponsor for correction and resubmission, thereby delaying the sponsor's receipt of the funds. After the receipt of acceptable deliverables or final acceptance of construction, DOAV will accept a reimbursement request for the remainder of a project's cost.

For federally funded projects, the final state request for reimbursement will be processed upon verification of the final FAA approved project cost.

7.6.3 Project Close-out

A close-out letter will be sent to the sponsor confirming that the project is complete and all payments have been made, unless an audit will be performed as described below. Final inspections will be required prior to project close-out.

An audit may be conducted on a project. If an audit is conducted, the sponsor will receive written notification of the outcome and any adjustments that need to be made. For federally funded projects, DOAV accepts the audit conducted by the federal Office of the Inspector General. After an audit, a closeout letter will be sent to the sponsor.

8.0 Voluntary Security Program

The Voluntary Security Program (VSP) provides funding for projects to enhance the security of public-use general aviation airports in Virginia.

The Virginia Aviation Board has delegated the authority to review and approve funding requests for the VSP to the Virginia Department of Aviation.

8.1 Project Eligibility

Before a general aviation airport sponsor can receive funding for a security improvement project, the airport must first be declared a “Secure Virginia Airport” as described in Chapter 13 General Aviation Airport Voluntary Security Certification Program. In addition, a security improvement project must be identified on the sponsor’s approved airport security plan in order to be eligible for state funding. VSP does not require prerequisites for projects to conduct security audits and develop security plans. The following are examples of eligible for VSP funding:

- airport security audit
- airport security plan
- terminal area fencing, including 500 feet of vinyl coated fence
- perimeter fencing
- electronically controlled entry gates
- external and internal surveillance systems
- security signage
- security lighting
- security barriers

A fence clearing project is eligible one time only. The continuing maintenance of the terminal area and perimeter fence lines is a sponsor’s responsibility. Operational costs, such as the hiring of security guards, are not eligible.

Sponsors should contact DOAV to discuss the eligibility of other security improvements under this program.

8.2 State Participation

VSP funds 100 percent of projects to conduct security audits and develop security plans. The program funds 90 percent of the design and installation of security improvements that address deficiencies identified in plans and audits.

DOAV will review security audits and plans, and it will review and approve engineering agreements, plans, and specifications for security improvements.

8.2.1 Utilization of Airport Capital Funds

When funds are not available under the Voluntary Security Program, a sponsor may submit project requests under the Airport Capital Program for consideration by the Virginia Aviation Board. The projects must meet the eligibility requirements of the Voluntary Security Program, and the required supporting documents for the Airport Capital Program must be provided as part of the project request submission.

8.3 Application Process

Sponsors will submit project requests for funding through Airport IQ. Each project request entry must include a project description and estimated cost, listed by state and local funding source. The cost estimate should include a sponsor's administrative costs.

The following supporting documentation must be submitted with the project request:

- scope of work
- quote or bid
- project schedule
- sketch

Actual proposals must be presented when the scopes are submitted to DOAV for review and approval, including costs and man-hours for subconsultants. Should the prime consultant or subconsultant costs and man-hours justifiably change after funding has been approved, sponsors can request an increase as described 8.6.1 Grant Amendments and Change Orders.

Project requests may be submitted at any time during the fiscal year, **except for the 72-hour period after the due dates for Airport Capital Program submissions.**

Requests should only be made for projects that are eligible and meet prerequisites.

8.4 Evaluation and Selection Process

Upon receipt of a **project request**, DOAV will confirm the availability of funds within the program. DOAV will then review the **project request for completeness and project eligibility**. DOAV will prioritize the project(s) in consideration with existing VSP requests and make a determination regarding funding.

8.5 Agreement Process

For approved projects, a written notification will be sent to the sponsor, either a tentative allocation letter or grant offer, based on the scope of work. The notification will include a description of the work to be undertaken, the amount of funding allocated to the project, and funding termination date.

8.5.1 Tentative Allocation

After a tentative allocation is offered for a design and construction project, the sponsor may begin the design. Upon DOAV approval of bids, a grant agreement will be offered for the design and construction project.

8.5.2 Grant Agreements

The sponsor must execute the grant agreement prior to initiating any design work for a design only project, construction work, or purchases. Please refer to the agreement process section in Chapter 6 Airport Capital Program for details on a grant agreement.

8.6 Project Implementation

Once a grant agreement has been executed, work may begin. Based on the type of project, DOAV may inspect a security improvement project as it progresses or when it is complete.

8.6.1 Grant Amendments and Change Orders

At any time during the course of a project, the grant agreement for a VSP project may be amended for justifiable cause, such as a change in the grant amount or a change in the scope of work. When a sponsor becomes aware of conditions that will necessitate a change, the sponsor should immediately submit to DOAV a written request for a grant amendment. **The written request must include the following information:**

- grant number and project name
- current expiration date if time extension is part of the request
- reason for the request including an explanation for why the project will not be finished by the grant expiration date or with the allocated funds
- plan of action and revised project schedule for completion of the project

All grant amendments must be coordinated with DOAV prior to the subject work being initiated. All increases are contingent on the availability of funds.

A change order will be addressed by DOAV at the time the change order is requested. Increases or decreases to a grant will not be addressed until project completion, and increases are subject to the availability of funds.

8.6.2 Reimbursement

Requests for reimbursement can be made for partial amounts or the full amount. The department **requires** that reimbursements for less than \$1,000 be made for the full amount at the completion of the project. The requests must be made using DOAV's *Request for State Fund Reimbursement Form*. The form must bear the sponsor's original signature and date to certify acceptance of the costs from contractors and others. The form must be submitted with the required supporting documentation, such as a consultant or contractor invoice.

DOAV will review the reimbursement form and supporting documentation. If the submittal is acceptable, payment will be approved. If the submittal is not acceptable, DOAV will contact the sponsor by telephone or email to discuss errors or omissions. If the sponsor cannot be contacted within five business days, the reimbursement package will be returned with a written notification that explains any discrepancy. DOAV will withhold or reduce any reimbursement payment until discrepancies are resolved. **It must be noted that goods ordered and services contracted prior to DOAV approval will not be eligible for reimbursement.**

DOAV will not reimburse a sponsor for more than 90 percent of a grant amount until it notifies the sponsor of receipt of acceptable deliverables or final acceptance of construction. Requests for reimbursements exceeding 90 percent of the grant should not be submitted to DOAV if the approval on project completion has not been given by DOAV. Such requests will be returned to the sponsor for correction and resubmission, thereby delaying the sponsor's receipt of the funds. After the receipt of acceptable deliverables or final acceptance of construction, DOAV will accept a reimbursement request for the remainder of a project's cost.

8.6.3 Project Close-out

A close-out letter will be sent to the sponsor confirming that the project is complete and all payments have been made, unless an audit will be performed as described below. Final inspections will be required prior to project close-out for construction projects.

An audit may be conducted on a project. If an audit is conducted, the sponsor will receive written notification of the outcome and any adjustments that need to be made. After an audit, a closeout letter will be sent to the sponsor.

9.0 Maintenance Program

The Maintenance Program provides funding for non-recurring maintenance to existing airport facilities in order to keep the facilities in a safe and economical operating condition. The program is also designed to encourage and assist airport sponsors with the implementation of preventative maintenance programs that will extend the useful life of the facilities and reduce the frequency of their replacement or reconstruction.

The Virginia Aviation Board has delegated the authority to review and approve funding requests for the Maintenance Program to the Virginia Department of Aviation.

9.1 Project Eligibility

Eligible maintenance is identified as scheduled inspections, scheduled and unscheduled maintenance, and unscheduled repairs that are necessary to preserve existing airport facilities and operations. Scheduled inspections should be conducted on a quarterly basis.

Maintenance funds cannot be used to upgrade, enhance, or expand facilities. In addition, *Code of Virginia* §5.1-55 does not allow Aviation Special Funds to be spent on recurring maintenance, which has been identified as work that must be performed daily, weekly, or monthly to maintain a facility's appearance or operational use. Recurring maintenance involves activities such as grass cutting, pavement sweeping, and snow removal. The replacement or repair of items damaged or lost due to negligence, accident, theft, or vandalism is not eligible for reimbursement.

9.1.1 Facility Maintenance Projects

Eligible maintenance projects may be performed on airport facilities and infrastructure that were properly designed and constructed. Maintenance of certain airport facilities is not eligible where the original design and construction were not approved by DOAV. If a sponsor wishes to apply for maintenance funding for such a facility, a DOAV engineer must assess the original design, structural integrity, and maintenance history of the facility and determine its eligibility for the program.

Eligible projects include:

- obstruction removal on airport property or on property where the sponsor has the rights to top or completely remove the obstruction
- pavement maintenance and repairs, including pothole repair, grass removal, crack seal, and pavement surface treatments such as slurry seals
- removal of vegetation that causes pavement deterioration, impedes drainage, causes deterioration of facilities, and obstructs visibility of fenced areas
- replacement of pavement markings
- repairs of airport lighting systems, visual aids, automated weather observation systems, ground communication outlets, and pilot briefing systems

- emergency repairs of a facility that will prevent its destruction or deterioration if not performed immediately
- replacement of lamps, gaskets, transformers, cables, wind cones, and such used in eligible lighting and visual aid devices
- repairs to fueling systems including repairs to electrical systems, pumping systems and lines, containment systems, rust removal, and painting
- repairs to terminal buildings and maintenance equipment storage buildings, as well as associated systems and equipment that are eligible for funding under the Airport Capital Program
- quarterly or annual inspections of airport lighting systems, visual aids, automated weather observation systems, and emergency generators

It is the intent of the VAB that the state should provide financial assistance for the clearing of obstructions once and a sponsor should have the capability to maintain such areas without seeking further funding under the Maintenance Program. In areas where a sponsor has acquired adequate property interest, either through ownership or easement, and clear cutting or clearing-and-grubbing activities have been done using state funding, the sponsor is responsible for maintaining these areas free and clear of obstructions without any additional state financial support. Maintenance of areas where the sponsor has acquired only the right to top trees is eligible for state funding. Cost-effective methods of limiting tree growth, such as controlled spraying, are eligible under this program. Obstruction removal projects must meet the environmental requirements noted in Chapter 5 Project Eligibility Requirements.

Maintenance and repairs may be performed on eligible buildings and associated systems and equipment that are eligible for funding under the Airport Capital Program, such as plumbing and electrical systems, roofs, and structural elements. Eligible activities include exterior and interior painting. Items and activities that are not eligible include, but are not limited to, janitorial services, wallpapering, window treatments, lamp replacement, and exterior power washing. When a project request is for the replacement of whole systems such as carpeting, heating, ceiling tiles, roof bladders, and air conditioning, **DOAV may request a detailed professional analysis of the current system or** will physically inspect the site and use that review to determine **eligible improvements.**

Sponsors should contact DOAV with questions on whether maintenance projects not listed here may be eligible under this program. In general, if a facility is eligible for state funding under the Airport Capital Program, the Facilities and Equipment Program, and the Voluntary Security Program, maintenance of the facility is most likely eligible under the Maintenance Program.

9.1.2 Purchase of Maintenance Equipment

So that sponsors have the necessary equipment to handle recurring maintenance, the VAB has identified **the purchase of** certain pieces of maintenance equipment and their upkeep as eligible for funding assistance. Listed below are examples of **maintenance equipment eligible and ineligible for purchase under the Maintenance Program.**

96

Eligible Equipment	Ineligible Equipment
<ul style="list-style-type: none"> • self-propelled mowers • tractors • mower attachments • spray attachments for vegetation control • sweeper attachments • snow blades • trucks, new or used, with gross vehicle weight of 15,000 to 30,000 pounds, single axle, dual rear tires, dump body, and cab to seat a maximum of three people • small utility vehicles and attachments specifically made for those vehicles where the vehicle may be two or four wheel drive and shall not exceed gross vehicle weight of 2,600 nor 133 inches in length and 65 inches in width 	<ul style="list-style-type: none"> • chain saws, weed trimmers, etc. • hand tools • front end loader vehicles • fuel trucks • courtesy vehicles • aircraft tugs • snowblowers not included in a snow removal equipment plan for a FAR Part 139 airport

97

98 Equipment acquired under this program shall be **exclusively** operated and properly
 99 stored on airport property, except for instances where off-site repairs to the
 100 equipment are required.

101

102 Sponsors of publicly owned airports may purchase maintenance equipment through
 103 contracts established for the Commonwealth of Virginia. For information about this
 104 service, sponsors should contact the Virginia Department of General Services in
 105 Richmond at (804) 230-7701 or www.dgs.virginia.gov.

106

107 Many pieces of equipment may be available for purchase through the state and
 108 federal surplus property systems. While care must be used in acquiring such items,
 109 some surplus items have a substantial operating life remaining, and the cost is
 110 significantly lower than that of new equipment. To access the state system, sponsors
 111 should contact the Virginia Department of General Services in Richmond at (804)
 112 230-7701 or www.dgs.virginia.gov. For eligibility and access information for the
 113 federal system, sponsors should contact the Federal Aviation Administration
 114 Washington Airports District Office at 703-661-1354.

115

116 Surplus equipment is also available from airport sponsors around the state. Airport
 117 sponsors and managers post information on this surplus equipment through DOAV's
 118 website at www.doav.virginia.gov.

119

120 9.1.3 Maintenance of Equipment

121 Repairs to eligible equipment and replacement of worn equipment components are
 122 eligible for state funding. Periodic preventative maintenance for eligible maintenance
 123 equipment, such as fluid changes and tune-ups, is not eligible for state funding.

9.2 State Participation

The state's participation rate for projects under the Maintenance Program is 80 percent, except for fueling systems, terminal buildings, the purchase of maintenance equipment, and the maintenance of equipment. Maintenance for fueling systems will be funded at the ratio the state originally used to install the system as described in Appendix A, item A.7 fueling systems. Maintenance for terminal buildings will be based on the amount of public-use space as described in Appendix B, B.6.1 Terminal Building Maintenance. The funding rates for the purchase of maintenance equipment and the maintenance of equipment is described in 9.2.2 Purchase of Maintenance Equipment and 9.2.3 Maintenance of Equipment.

Each airport sponsor may receive up to \$100,000 per fiscal year for eligible maintenance projects, contingent on the availability of state funds.

9.2.1 Facility Maintenance Projects

As airport sponsors have varying financial resources for maintenance activities, DOAV offers three approaches for funding eligible maintenance projects, based on who performs the work and what expenses will be submitted for reimbursement:

- When a private contractor is used to perform the work and reimbursement will be based on labor, equipment, and materials, DOAV will fund up to 80 percent of the eligible project cost for work performed by a private contractor.
- When a sponsor performs the work using its own employees and reimbursement will be based on the cost of materials and the use of the sponsor's employees and equipment at a predetermined rate approved by the department, DOAV will fund up to 80 percent of the eligible project cost for work performed by a sponsor.
- When a sponsor performs the work using its own labor and equipment and seeks reimbursement for materials only, DOAV will fund 100 percent of the cost of eligible materials. Sponsors should contact DOAV regarding the eligibility of materials.

The supporting documentation needed for reimbursement is described in 9.6.2 Reimbursement.

When a private contractor will be hired or where the cost of sponsor-installed materials or equipment will exceed \$5,000, the sponsor shall solicit a minimum of three bids for a project or a combination of projects. Similar projects should be combined whenever possible. Copies of all the bids shall be submitted to DOAV for review and concurrence. When warranted, DOAV may waive the requirement for three bids. When the total cost of a project is less than \$5,000, only one telephone bid is required. If a private contractor is already under contract with a sponsor in accordance with the *Virginia Public Procurement Act*, a sponsor may use that contractor instead of soliciting bids for contractor services.

9.2.1.1 Obstruction Removal When federal funding will be used for an obstruction removal project, the state will participate at the prevailing rate for federally funded projects. When federal funding will not be used for an obstruction removal project, an airport sponsor needs to use the balance of the sponsor's fiscal year maintenance allocation up to \$100,000 for the obstruction removal. If the cost of the project exceeds that balance, the sponsor will need to apply to the VAB for Airport Capital Program funds for the state's participation in the remainder of the project. Obstruction removal projects will be considered as regular maintenance projects, and the state's participation rate will be 80 percent. These state rates apply regardless of the funding program utilized.

DOAV's participation in the removal of trees that have been determined to be obstructions is dependent on whether the sponsor owns the property or has an avigation easement for the property.

- When the sponsor owns the property, DOAV will reimburse the sponsor one time to remove the trees. DOAV encourages the sponsor to clear and grub the area to a condition suitable for mowing. The state's participation rate will be 80 percent.
- When the sponsor's avigation easement **or agreement** only permits the topping of trees, DOAV will reimburse the sponsor to cut the trees on an as needed basis **in accordance with easements and agreements**. The state's participation rate will be 80 percent.
- When the sponsor's avigation easement permits the sponsor to clear cut the trees to ground level, DOAV will reimburse the sponsor one time to cut the trees. DOAV encourages the sponsor to clear and grub the area to a condition suitable for mowing if the avigation easement so permits. The state's participation rate will be 80 percent.

Sponsors of FAR Part 139 airports may use state entitlement funds for obstruction removal projects. If a sponsor uses state entitlement funds for an obstruction removal project, the sponsor will not be subject to the fiscal year approval restriction and reimbursement requirement described in 3.1.1.3 Air Carrier Utilization of State Entitlement and Discretionary Funds. Sponsors of FAR Part 139 airports can request state discretionary funding for obstruction removal when the sponsor has exceeded the sponsor's fiscal year maintenance allocation of \$100,000 or maintenance funds are not available for such projects.

9.2.2 Purchase of Maintenance Equipment

A sponsor may receive up to **\$50,000** over a five-year period to purchase eligible maintenance equipment. The dollar limit and time period begin with the execution of the first grant for procurement and end five years from that date. After a five-year period ends, the next period begins with the execution of the next equipment procurement grant.

The department will fund 50 percent of the acquisition of eligible maintenance equipment. The funds that can be used to purchase maintenance equipment are part of the \$100,000 fiscal year limit for maintenance allocation.

If a sponsor uses state entitlement funds to purchase maintenance equipment as noted in 9.2.5 Utilization of State Entitlement Funds, the state's participation rate is 80 percent. The dollar limits and time period do not apply for such purchases.

In bid solicitations, sponsors may use minimum specifications for equipment or list a specific manufacturer and product; however, if a specific piece of equipment is listed, the solicitation must also include a condition allowing for bids on equivalent equipment. When the total cost of a project is less than \$5,000, only one telephone bid is required. When the cost of equipment will exceed \$5,000, the sponsor shall solicit a minimum of three bids for the equipment. When warranted, DOAV may waive the requirement for three bids. Copies of all the bids shall be submitted to DOAV for review and concurrence.

9.2.3 Maintenance of Equipment

Repairs to eligible equipment and the replacement of worn equipment components are eligible for state funding at a 50 percent participation rate. The costs of repairs accumulate toward the \$100,000 fiscal year limit for maintenance allocations. Equipment eligible for repair using Maintenance Program funds includes but is not limited to:

- maintenance equipment purchased under the Maintenance Program
- air rescue and fire fighting equipment (ARFF) for air carrier airports
- snow and ice removal equipment for air carrier airports
- snow removal attachments for general aviation airports

The cost to make surplus or used equipment operational at the time of purchase is eligible for state funding at a 50 percent participation rate and accumulates toward the total state share of \$50,000 for equipment purchases.

9.2.4 Utilization of Airport Capital Funds

When a sponsor has reached the \$100,000 fiscal year limit for maintenance allocations per airport or when funds are not available under the Maintenance Program, a sponsor may submit project requests under the Airport Capital Program for consideration by the Virginia Aviation Board. The projects must meet the eligibility requirements of the Maintenance Program, and the required supporting documents for the Airport Capital Program must be provided as part of the project request submission. In such a case for a Part 139 airport, if the Virginia Aviation Board specifically approves the use of state entitlement funds for proposed maintenance projects, a sponsor will not be subject to the fiscal year approval restriction and reimbursement requirement described in 3.1.1.3 Air Carrier Utilization of State Entitlement and Discretionary Funds.

9.2.5 Utilization of State Entitlement Funds

Sponsors of FAR Part 139 airports may use state entitlement funds for all projects eligible under the Maintenance Program. In addition, these sponsors may use state entitlement funds to secure maintenance contracts and repairs related to systems and equipment. Eligible systems and equipment include but are not limited to:

- elevators
- escalators
- security access systems
- CCTV systems
- terminal HVAC systems
- systems not generally maintained by airport personnel

Multi-year maintenance contracts would need to be programmed for each year of the contract. Maintenance contracts would receive no project priority scoring as noted in 3.1.1.3 Air Carrier Utilization of State Entitlement and Discretionary Funds.

Sponsors of FAR Part 139 airports may use state entitlement funds to purchase maintenance equipment related to compliance with FAR Part 139 or to the safety and security of the airport. FAR Part 139 projects eligible for state participation are those directly related to meeting safety and performance standards established by FAR Part 139. All of the equipment eligible under the Maintenance Program may be purchased with state entitlement funds. The dollar limits and associated time period, described in 9.2 State Participation and 9.2.2 Purchase of Maintenance Equipment, do not apply to this type of purchase. In addition, the state's participation rate is 80 percent for this type of purchase.

Other types of eligible maintenance equipment include but are not limited to:

- crack sealing equipment
- large embankment mowers
- lifts
- bucket trucks
- FOD collectors
- runway sweepers
- friction testers
- airfield rubber and paint removal equipment

If sponsors use state entitlement funds for maintenance projects, maintenance contracts, or the purchase of maintenance equipment, the sponsor shall not be eligible to receive approval for any funding from the Maintenance Program during the fiscal year in which the state entitlement funds are spent, except as otherwise described in 6.7.5 Facilities and Equipment, Security, and Maintenance Project Requests; 9.0 Maintenance Program; Appendix A section A.11 facility and equipment, security, and maintenance projects; and Appendix A section A.14 obstruction removal. If it is determined during the review of an entitlement utilization report that a sponsor used entitlement funds for eligible maintenance projects and also used Maintenance Program funds for other eligible projects, the sponsor must reimburse the state for the Maintenance Program grants or allocations approved within that fiscal year.

9.3 Application Process

Sponsors will submit project requests for funding through Airport IQ. Each project request entry must include a project description and estimated cost, listed by state and local funding sources. The cost estimate should include a sponsor's administrative costs.

The following supporting documentation must be submitted with the project request:

- scope of work
- quote or bid
- project schedule
- sketch

Actual proposals must be presented when the scopes are submitted to DOAV for review and approval, including costs and man-hours for subconsultants. Should the prime consultant or subconsultant costs and man-hours justifiably change after funding has been approved, sponsors can request an increase as described 9.6.1 Cost Increases.

When submitting the request, sponsors should provide as much justification and supporting information about their project as possible. Failure to provide adequate information will result in disapproval of a project request.

Project requests may be submitted at any time during the fiscal year, except for the 72-hour period after the due dates for Airport Capital Program submissions.

Sponsors are encouraged to call DOAV prior to submitting a request to discuss eligibility and ask questions on treatment methods, materials, procurement, and related concerns.

9.4 Evaluation and Selection Process

Upon receipt of a project request either for facility maintenance or maintenance equipment, DOAV will confirm the availability of funds within the program and the sponsor's fiscal year balance to date. The project request will then be reviewed for completeness and conformity with project eligibility, and a determination will be made on the reasonableness of the cost. DOAV will approve a project request when it meets these criteria and funds are available. **It must be noted that goods ordered and services contracted for facility maintenance projects and maintenance equipment purchased prior to DOAV approval will not be eligible for reimbursement.**

9.5 Agreement Process

The agreement process for the Maintenance Program depends on whether the project is for facility maintenance, the purchase of equipment, or the maintenance of equipment.

9.5.1 Facility Maintenance Projects

After a facility maintenance project is approved, DOAV will assign a project number and issue a **notification** that specifies the scope of work and the amount, conditions, and effective date of the allocation. This form will constitute a notice to proceed, and a sponsor may begin work upon receipt of the form. Grant agreements are not issued for facility maintenance projects.

9.5.2 Purchase of Maintenance Equipment

After the procurement of maintenance equipment is approved, DOAV will offer a grant agreement to the sponsor. **The execution of a grant agreement is required before a sponsor may purchase equipment.** Please refer to the agreement process section in Chapter 6 Airport Capital Program for details on grant agreements.

A sponsor is responsible for the upkeep of maintenance equipment funded through DOAV's Maintenance Program for the term specified in the grant agreement. If the equipment is sold or traded, the sponsor shall refund to DOAV in accordance with section 3.5 Disposal of Property Acquired with State Resources.

9.5.3 Maintenance of Equipment

After an equipment maintenance project is approved, DOAV will assign a project number and issue a **notification** that specifies the scope of work and the amount, conditions, and effective date of the allocation. This form will constitute a notice to proceed, and a sponsor may begin work upon receipt of the form. Grant agreements are not issued for equipment maintenance projects.

9.6 Project Implementation

Once a **notification** is received for a facility maintenance project or a grant agreement has been executed for a maintenance equipment project, work may begin. Based on the type of project, DOAV may inspect a facility maintenance project as it progresses or when it is complete.

9.6.1 Cost Increases

If the sponsor incurs additional costs to a previously approved maintenance project prior to approval by DOAV, the department may fund an increase up to 10 percent of the original allocation. If the additional eligible costs exceed 10 percent of the original allocation and the sponsor coordinates with DOAV on the cost increase prior to incurring these additional costs, DOAV can authorize the funding of the entire increase. All increases are subject to the availability of Maintenance Program funds.

9.6.2 Reimbursement

Requests for reimbursement can be made for partial amounts or the full amount. The department **requires** that reimbursements for less than \$1,000 be made for the full amount at the completion of the project. The requests must be made using DOAV's *Request for State Fund Reimbursement Form*. The form must bear the sponsor's original signature and date to certify acceptance of the costs from contractors and others. The form must be submitted with the required supporting documentation.

For the three approaches for funding eligible maintenance projects described in 9.2.1 Facility Maintenance Projects, the following supporting documentation is needed:

- When a private contractor is used, the sponsor shall provide DOAV with copies of paid invoices from the contractor in order to be reimbursed.
- When a sponsor uses its own employees, the sponsor must keep all statements and invoices for materials, equipment, and labor to forward to DOAV for reimbursement. Labor and equipment are to be charged to the project at a predetermined rate approved by DOAV.
- When a sponsor will seek reimbursed for materials only, copies of paid invoices for materials shall be sent to DOAV for reimbursement. The sponsor will not be required to keep and submit records for labor and equipment for project reimbursement.

DOAV will review the reimbursement form and supporting documentation. If the submittal is acceptable, payment will be approved. If the submittal is not acceptable, DOAV will contact the sponsor by telephone or email to discuss errors or omissions. If the sponsor cannot be contacted within five business days, the reimbursement package will be returned with a written notification that explains any discrepancy. DOAV will withhold or reduce any reimbursement payment until discrepancies are resolved. **It must be noted that goods ordered and services contracted prior to DOAV approval will not be eligible for reimbursement.**

9.7 Emergency Maintenance Request

If circumstances occur on a weekend or holiday where a delay in repairs will create an unsafe condition, result in an airport closure, or cause a significant loss of revenue, a sponsor may follow this procedure to qualify for DOAV reimbursement:

- determine if the repair is eligible, to the best of the sponsor's knowledge
- follow the normal procurement procedure established by the local governing body
- contact DOAV on the next business day to seek confirmation of eligibility
- **submit a project request through Airport IQ**

DOAV does not guarantee it will approve reimbursement for these special circumstances. Approval is more likely if a sponsor follows the proper procedure.

448 DOAV encourages airport sponsors to keep a minimal stock of parts that have to be
449 replaced regularly to avoid having to make purchases during such a circumstance.
450 DOAV will participate in the purchase of a reasonable quantity of these items.

10.0 Virginia Airports Revolving Fund

The Virginia Resources Authority (VRA) partners with the Virginia Aviation Board and the Virginia Department of Aviation to provide innovative financing resources to public-use airports. Airport sponsors are encouraged to contact VRA at 804-644-3100 or www.virginiaresources.org for information about the Virginia Airports Revolving Fund (VARF) or other financing options available through VRA, including equipment leasing.

10.1 Virginia Airports Revolving Fund

The VARF makes below-market rate loans to support the implementation of capital improvement plans. The VAB and VRA approve loan applications. VAB determines project appropriateness; VRA approves loans based on financial capability and availability of funding.

Loans are available for eligible projects at publicly-owned airports. Interest rates are reduced 0.50% below prevailing AA market rates. The minimum preferred loan amount is \$500,000; however, depending on fund availability, smaller amounts may be available. Loans are customized to match the airport and project needs, with 25 years being the maximum term available.

10.2 Project Eligibility

Eligible projects include:

- any airport related capital project on an airport's approved layout plan including revenue producing projects
- local matching share of projects eligible for funding through other federal and state sources
- debt refinancing

Specific project examples include:

- hangars
- terminal buildings
- machinery and equipment
- lands and rights-in-land
- roadways
- parking facilities
- utilities
- fuel farms

Eligible expenses include:

- administration
- engineering such as planning, design, and construction
- legal and financing fees
- construction costs
- equipment
- land
- cost of interim financing

Funds may not be available for projects that are clearly speculative in nature or a readiness to proceed is not demonstrated.

10.3 Application Process

A request for loan funds should be submitted to DOAV and VRA using the *Virginia Airports Revolving Fund Application*. Both DOAV and VRA will work closely with airport sponsors to answer questions and ensure that applications are complete. *Applications will be solicited annually.*

10.4 Evaluation and Selection Process

DOAV will examine each loan application for completeness, eligibility, and consistency with the airport's planned development and notify the airport sponsor if an apparent problem exists. The notification allows the sponsor to adjust, correct, or withdraw the application. At the same time DOAV is reviewing an application, VRA is reviewing the application and will contact the airport sponsor if a problem is found or additional information is needed *to complete the financial capability analysis.*

DOAV submits its recommendations to the VAB. The recommendations are given to members of the VAB at least two weeks prior to a regular VAB meeting. The VAB will consider applications at its regularly scheduled meetings. As the VAB considers each loan request, it will ensure that each proposed project is eligible and is necessary for the overall development of the state air transportation system. Loan requests approved by the VAB are forwarded to VRA.

VRA will then complete *the necessary due diligence and financial reviews. In the event that approved applications exceed available funding, VRA, in conjunction with DOAV, will prioritize such applications. Variables considered for prioritization are the availability of other funding options, economic impacts, criticality, and readiness to proceed.*

10.5 Agreement Process

The financial aspects of the VARF are administered by VRA. VRA works with airport sponsors and their bond counsel towards loan closing and provides sponsors with information on the process.

10.6 Project Implementation

Disbursements of funds and collection of loan payments are arranged through VRA. VRA will coordinate the transfer of funds related to the projects. DOAV may be involved in a project through its normal processes for reviewing and approving plans, conducting inspections, and providing technical assistance as needed.

11.0 Aviation Promotion Program

The Aviation Promotion Program helps stimulate aeronautical activity and promote aviation across the state by raising awareness in the business and public sectors about the programs and services provided and the economic benefits generated by Virginia airports. The program provides funding to airport sponsors for the promotion of commercial aviation services and general aviation activities. The program is available to all airport sponsors meeting the eligibility criteria described in Chapter 4 Sponsor Eligibility.

The Virginia Aviation Board has delegated the authority to review and approve funding requests for the Aviation Promotion Program to the Virginia Department of Aviation.

11.1 Project Eligibility

Promotion programs should feature public relations activities, advertising, educational activities, publications, and the development of business and financial plans. Programs for general aviation airports can cover a wide spectrum of projects based on the diverse needs of each community and an airport's target audience. Funding for a new project can only be requested after an existing grant agreement is closed.

Eligible projects for the Aviation Promotion Program include:

- airport flight guides
- newsletters
- annual reports
- promotional videos
- on-line services
- brochures and fliers
- print media, billboards, and sign boards
- air time on radio and television
- airport and aviation displays
- aviation educational activities
- acquisition of educational supplies
- business and financial plans that must include a description of the business, financial data, and supporting documents that verify up-to-date master and airport layout plans
- items or services that are contracted for the public's use and benefit at special events such as airport appreciation days, fly-ins, and air shows
- meetings specifically held for promotional activities, including administrative, legal, and incidental costs incurred in completing a project

Please contact DOAV's Communications and Education Division for a determination of the eligibility of other proposed projects.

Ineligible projects include:

- a promotional item or activity that has the specific purpose of attracting business or passengers from another Virginia airport, including advertising or activities referencing the name, services, or economic advantages of one airport over a neighboring Virginia airport
- the purchase, lease purchase, or long-term lease of office equipment, presentation equipment, audio-visual equipment, vehicles, uniforms, or similar items
- the physical enhancement, beautification, decoration, or improvement of airport facilities
- a promotional item, service, or event that is not available to the public
- the promotion of privately owned businesses as sole beneficiary of a promotional effort

11.2 State Participation

For the Aviation Promotion Program, the VAB established a \$25,000 annual ceiling per airport on state financial participation for air carrier airports and a \$10,000 annual ceiling per airport for general aviation airports. The state's maximum participation rate for air carrier airports is based on annual enplanements for the most recent calendar year, as shown in Table 4 Promotional Funding Levels for Air Carrier Airports. The state's maximum participation rate for a general aviation airport is determined by the number of based aircraft reported on the airport's annual based aircraft survey, as shown in Table 5 Promotional Funding Levels for Reliever and General Aviation Airports. After January 1, funding ceilings are removed for the remainder of the fiscal year.

Table 4
Promotional Funding Levels for Air Carrier Airports

Annual Enplanements	State Participation
≤ 25,000	2/3 project cost up to \$25,000
> 25,001	1/2 project cost up to \$25,000

Table 5
Promotional Funding Levels for Reliever and General Aviation Airports

Based Aircraft	State Participation
≤ 25	2/3 project cost up to \$10,000
> 25	1/2 project cost up to \$10,000

DOAV provides technical assistance to airport sponsors in the design of promotion program planning and submission of project requests.

11.3 Application Process

Sponsors will submit project requests for funding through Airport IQ. Each project request entry must include a project description, **submission date**, and estimated cost, listed by state and local funding sources. The cost estimate should include a sponsor's administrative costs.

The following supporting documentation must be submitted with the project request:

- estimated budget
- scope of work

Project requests may be submitted at any time during the fiscal year, except for the 72-hour period after the due dates for Airport Capital Program submissions.

11.4 Evaluation and Selection Process

When a project request is received, DOAV will review it for completeness and compliance with eligibility criteria and the purpose of the program. If the criteria are met and funds are available within the program, the project request will be approved.

11.5 Agreement Process

Grant agreements will be offered for approved promotion projects. Please refer to the agreement process section in Chapter 6 Airport Capital Program for details on grant agreements.

11.6 Project Implementation

DOAV will provide technical assistance for promotion projects. The department will also review and provide comments on project deliverables such as brochures, videos, and web sites.

11.6.1 Grant Amendments

At any time during the course of a project, the grant agreement for a promotion project may be amended for an extension of the expiration date. When a sponsor becomes aware of conditions that will necessitate this change, the sponsor should immediately submit to DOAV a written request for a grant amendment. The written request must include the following information:

- grant number and project name
- current expiration date if time extension is part of the request
- reason for the request including an explanation for why the project will not be finished by the grant expiration date or with the allocated funds

- plan of action and revised project schedule for completion of the project

All grant amendments must be coordinated with DOAV prior to the subject work being initiated.

11.6.2 Reimbursement

Requests for reimbursement can be made for partial amounts or the full amount. The requests must be made using DOAV's *Request for State Fund Reimbursement Form*. The form must bear the sponsor's original signature and date to certify acceptance of the costs from contractors and others. The form must be submitted with the required supporting documentation, such as a consultant or contractor invoice.

DOAV will review the reimbursement form and supporting documentation. If the submittal is acceptable, payment will be approved. If the submittal is not acceptable, DOAV will contact the sponsor by telephone or email to discuss errors or omissions. DOAV will withhold or reduce any reimbursement payment until discrepancies are resolved. **It must be noted that goods ordered and services contracted prior to DOAV approval will not be eligible for reimbursement.**

11.6.3 Project Close-out

A close-out letter will be sent to the sponsor confirming that the project is complete and all payments have been made, unless an audit will be performed as described below.

An audit may be conducted on a project. If an audit is conducted, the sponsor will receive written notification of the outcome and any adjustments that need to be made. After an audit, a closeout letter will be sent to the sponsor.

11.7 Applications by Airport Support Organizations

Airport support organizations, groups encouraging the development of activities at air carrier airports, may receive state funding under certain criteria that is in accordance with VAB policy defining the Aviation Promotion Program. **The state participation rate is 50 percent for projects in which an airport support organization is the applicant.** To be eligible for this financial assistance, an airport support organization shall be designated by written endorsement of the airport's sponsor. Only one airport support organization is eligible per airport sponsor. The organization shall be incorporated by the State Corporation Commission and have adopted articles of incorporation and by-laws. In order for an airport support organization to be eligible as an applicant, the airport sponsor must meet the eligibility requirement discussed in Chapter 4 Sponsor Eligibility.

Promotional activities conducted by an airport sponsor organization, such as advertising and business development planning, shall not directly compete or infringe on promotional activities of another Virginia airport. These activities shall not conflict

with the overall programs and promotional activities which might be initiated by the Governor, the Virginia General Assembly, the VAB, or DOAV.

Project requests with supporting documentation may be submitted either by the airport sponsor through Airport IQ or by the organization through mail services.

Copies of an airport support organization's incorporation documents and a current written endorsement from the airport sponsor must be included with the funding requests.

12.0 Air Service Development and Enhancement Program

The Air Service Development and Enhancement Program provides funding to assist airport sponsors attract new air service and maintain or improve existing air service. This air service includes scheduled air service under FAR Part 135 and cargo service.

The Virginia Aviation Board has delegated the authority to review and approve funding requests for the Air Service Development and Enhancement Program to the Virginia Department of Aviation.

12.1 Project Eligibility

The following are examples of items or projects that are eligible for funding under this program to assist sponsors attract, enhance, or retain commercial passenger or cargo services:

- air service studies and reports
- airline visits, including presentation preparation; consultant services; travel and lodging for airport sponsors; and administrative, legal, and incidental costs
- consulting services
- air service data subscriptions
- component market research services such as surveys and data analysis

Ineligible items or projects include:

- cash incentives
- items or projects eligible under the Aviation Promotion Program
- planning, engineering, or construction of capital projects

12.2 State Participation

The state's funding participation is based on the Federal Aviation Administration airport classifications. The maximum state participation rates and amounts are shown in Table 6 Development and Enhancement Funding Levels. The VAB has established a \$20,000 annual ceiling per airport on state participation. After January 1, fund ceiling is removed for the remainder of the fiscal year.

Table 6
Development and Enhancement Funding Levels

Airport Classification*		State Participation
Primary	Medium Hub	1/3 project cost up to \$20,000
	Small Hub	1/2 project cost up to \$20,000
	Non-Hub	1/2 project cost up to \$20,000
Non-Primary	Commercial Service	1/2 project cost up to \$20,000
	Reliever	1/2 project cost up to \$20,000
	General Aviation	1/2 project cost up to \$20,000

*based on FAA airport classifications

12.3 Application Process

Sponsors will submit project requests for funding through Airport IQ. Each project request entry must include a project description, **submission date**, and estimated cost, listed by state and local funding sources. The cost estimate should include a sponsor's administrative costs.

The following supporting documentation must be submitted with the project request:

- estimated budget
- scope of work

Project requests may be submitted at any time during the fiscal year, **except for the 72-hour period after the due dates for Airport Capital Program submissions.**

12.4 Evaluation and Selection Process

When a **project request** is received, DOAV will review it for completeness and compliance with eligibility criteria and the purpose of the program. If the criteria are met and funds are available within the program, the **project request** will be approved.

12.5 Agreement Process

Grant agreements will be offered for approved air service development and enhancement projects. Please refer to the agreement process section in Chapter 6 Airport Capital Program for details on grant agreements.

12.6 Project Implementation

DOAV will provide technical assistance for air service development and enhancement projects. The department will also review and provide comments on projects.

12.6.1 Grant Amendments

At any time during the course of a project, the grant agreement for a development project may be amended for an extension of the expiration date. When a sponsor becomes aware of conditions that will necessitate this change, the sponsor should immediately submit to DOAV a written request for a grant amendment. **The written request must include the following information:**

- grant number and project name
- current expiration date if time extension is part of the request
- reason for the request including an explanation for why the project will not be finished by the grant expiration date or with the allocated funds
- plan of action and revised project schedule for completion of the project

All grant amendments must be coordinated with DOAV prior to the subject work being initiated.

12.6.2 Reimbursement

Requests for reimbursement can be made for partial amounts or the full amount. The requests must be made using DOAV's *Request for State Fund Reimbursement Form*. The form must bear the sponsor's original signature and date to certify acceptance of the costs from contractors and others. The form must be submitted with the required supporting documentation, such as a consultant or contractor invoice.

DOAV will review the reimbursement form and supporting documentation. If the submittal is acceptable, payment will be approved. If the submittal is not acceptable, DOAV will contact the sponsor by telephone or email to discuss errors or omissions. DOAV will withhold or reduce any reimbursement payment until discrepancies are resolved. **It must be noted that goods ordered and services contracted prior to DOAV approval will not be eligible for reimbursement.**

12.6.3 Project Close-out

A close-out letter will be sent to the sponsor confirming that the project is complete and all payments have been made, unless an audit will be performed as described below.

An audit may be conducted on a project. If an audit is conducted, the sponsor will receive written notification of the outcome and any adjustments that need to be made. After an audit, a closeout letter will be sent to the sponsor.

12.7 Applications by Airport Support Organizations

Airport support organizations, the groups who encourage development activities at air carrier airports, may receive state funding under certain criteria that is in accordance with VAB policy defining the Air Service Development and Enhancement Program.

The state participation rate is 50 percent for projects in which an airport support organization is the applicant. To be eligible for this financial assistance, an airport support organization shall be designated by written endorsement of the airport's sponsor. Only one airport support organization is eligible per airport sponsor. The organization shall be incorporated by the State Corporation Commission and have adopted articles of incorporation and by-laws. In order for an airport support organization to be eligible as an applicant, the airport sponsor must meet the eligibility requirement discussed in Chapter 4 Sponsor Eligibility.

Activities conducted in support of airport infrastructure development by an airport sponsor organization shall be limited to only those projects that are depicted on an approved airport layout plan. Activities conducted in support of air service development by an airport sponsor organization, such as air service studies and airline visits, shall not directly compete or infringe on the air service development activities of another Virginia airport. These activities shall not conflict with the overall programs and development activities which might be initiated by the Governor, the Virginia General Assembly, the VAB, or DOAV.

Project requests with supporting documentation may be submitted either by the airport sponsor through Airport IQ or by the organization through mail services.

Copies of an airport support organization's incorporation documents and a current written endorsement from the airport sponsor must be included with the funding applications.

13.0 General Aviation Airport Voluntary Security Certification Program

The General Aviation Airport Voluntary Security Certification Program assists general aviation airport sponsors improve security and prevent unlawful activity at airports. This assistance includes providing sponsors with education, resources, and technical assistance to evaluate potential security threats, develop security plans to meet their specific needs, and improve upon existing security practices. The goal of the program is to insure that each airport achieves the appropriate level of security for its service role, physical characteristics, and operational characteristics without undue financial and administrative burdens being placed on sponsors. This program is restricted to sponsors of general aviation airports.

The General Aviation Airport Voluntary Security Certification Program is a voluntary program. To encourage participation, the program does not require any unfunded mandates and offers an easy to complete process for certification as a “Secure Virginia Airport”. Sponsors of certified airports are eligible to receive funds for security improvements through the Voluntary Security Program. These sponsors also receive project bonus points within the Airport Capital Program project priority system.

The Virginia Aviation Board has assigned the Virginia Department of Aviation the responsibility of approving security audits and security plans.

13.1 Certification Process

In order for a general aviation airport to be certified as a “Secure Virginia Airport”, an initial security audit must be conducted by an outside agency, and a security plan must be prepared. The security audit and plan need to be approved by DOAV. To maintain the secure airport status, a sponsor must have an independent agency conduct a security audit every three years and must continue conducting semi-annual self-audits. Sponsors will update their security plan based on the findings of the audits. An airport can lose its certification as a “Secure Virginia Airport” if the independent audits and self-audits are not conducted and documented accordingly. When an airport loses this certification, the airport sponsor is no longer eligible to apply for new security improvement projects under the Voluntary Security Program, and additional points cannot be received within the Airport Capital Program project priority system. An airport sponsor must satisfactorily complete the delinquent audits to regain its certification.

13.1.1 Security Audits

Airport sponsors should contact local law enforcement agencies, the Virginia State Police, or security specialists experienced with airport security to conduct an airport security audit. At a minimum, the audits should address the entire boundary of the airport and issues found within the boundary. Issues associated with property beyond the airport boundaries may also be included in the audit. Airport security deficiencies must be identified in the audit. Recommendations to mitigate these

deficiencies may be included in the audit. The independent audit should be performed every three years. A template for these security audits is available from DOAV.

DOAV may attend security audits. In addition, the department will review audit results and provide comments to sponsors. DOAV reserves the right to review and approve the qualifications of outside organizations conducting security audits.

13.1.2 Security Plans

An airport security plan will be the basis for determining the justification of requested security improvement projects. The airport security plan must address procedures for operations and activities at the airport. In addition to providing these procedures, the plan should also include recommended improvements to mitigate security deficiencies identified in the security audit. The development of the security plan should take into account considerations such as physical constraints. The plan should include a formal checklist for self-inspections and cost benefit evaluations where applicable. Elements that are recommended to be included in an airport's security plan can be found in the airport security template appropriate for the airport's service role, available in either paper or electronic format from DOAV. Security plans are not limited to the elements included in the templates. Other elements should be considered to address circumstances relevant to each individual airport.

DOAV recommends that a sponsor establish a process to periodically review and update the plan. Plans must be updated to address changes at an airport such as land acquisition and the construction of a new terminal building or hangar. To assist with reviewing and updating security plans, sponsors may consider establishing an airport security committee or formalizing coordination with local and state law enforcement agencies.

Along with providing sponsors with templates for the development of airport security plans, DOAV will provide technical assistance during the planning process. DOAV reserves the right to review and approve the qualification of organizations preparing airport security plans.

DOAV will review and approve an airport security plan for certification. DOAV recognizes that resolving every deficiency may be physically impossible or cost prohibitive, and, when reviewing security plans, it will take into consideration:

- the size and complexity of an airport
- an airport's geographic location and topography
- airport sponsorship
- local financial support
- VATSP service role

Table 7
Examples of Eligible Security Improvements

Improvements	Eligibility Details
terminal area fencing	Terminal area fencing prevents individuals from having easy access to the flight line and other airport facilities such as hangars, fuel farms, and navigational aid equipment. This fencing is limited to the terminal area as shown on an approved airport layout plan and needs to be depicted on an airport's airport layout plan. If economical, terminal area fencing should be connected to existing perimeter fencing. At a terminal building, the use of 500 feet of vinyl coated fencing is eligible.
perimeter fencing	Perimeter fencing controls access to airport property. Sponsors wanting to use such fencing need to prepare a fencing plan and depict the fencing on their approved airport layout plans.
electronically controlled entry gates	Electronically controlled entry gates control access to aprons and other airport facilities. To be eligible for state funding, a system must provide a means to regulate the distribution and collection of cards, passwords, or the like.
surveillance systems	External and internal surveillance systems monitor, record, and assist with control access to aprons and other airport facilities. The systems must provide a means to function in real time.
security signage	Security signage identifies restricted and limited access areas and helps control movement on the airport. Signs should be legible and illuminated when necessary. The number of signs and the content should correspond to the size and complexity of the airport. Security signs include: <ul style="list-style-type: none"> • parking signs • directional signs • informational signs • warning signs
security lighting	Security lighting provides additional outside lighting in highly traveled and vulnerable areas of an airport. A lighting plan must be prepared, depicting the location and type of lighting requested.
security barriers	Security barriers can be installed or berms can be constructed to protect: <ul style="list-style-type: none"> • fueling facilities • hazardous materials storage areas • electrical vaults • navaid equipment • airport access points on airport property

13.1.3 Semi-Annual Self-Audits

Security self-audits should be conducted every six months by the airport sponsor, using the formal checklist developed as part of the airport's security plan. Sponsors must certify that the security self-audits are completed in accordance with their airport security plan. Documentation and results of the security self-audits must be sent to DOAV to receive credit for completion.

13.2 Security Improvements

A variety of physical improvements can be made to increase the overall security of a general aviation airport. Examples of security improvements are provided in Table 7 Examples of Eligible Security Improvements. Airport sponsors will need to identify the most appropriate improvements for their airports based on the characteristics of the airport.

13.3 Project Priority System Points

Besides receiving the direct benefits resulting from security improvements, sponsors of certified airports receive bonus points in the **airport** category within the Airport Capital Program project priority system, to be applied to any eligible project request:

- ten points for meeting the minimum elements
- five additional points for implementing at least five of the identified optional recommended security measures after the minimum elements have been met

To receive ten points for the airport **category** within the project priority system, an airport sponsor must satisfy three minimum elements to a degree that is based on the airport's service role, location, and physical constraints. The minimum elements are:

- security plan developed and updated by the sponsor or an outside agency and approved by DOAV
- security audits conducted every three years by an outside agency
- security self-audits conducted semi-annually by the sponsor using an approved checklist

Some sponsors may elect to go beyond these minimum elements or may need additional elements due to local jurisdiction requirements or recommendations. Optional recommended security measures address these considerations and include:

- clearing perimeter fence line of obstructions
- installing perimeter fencing
- issuing identification cards to employees, tenants, and contractors
- installing external and internal surveillance systems, including cameras and movement detectors
- conducting background checks on employees, tenants, and FBO employees

- conducting annual security awareness training for employees, tenants, and FBO employees
- requiring employees to wear uniforms and badges
- issuing identification tags to control vehicle movement and parking on an airport's airside

Sponsors should contact DOAV regarding the eligibility of other security measures.

If at least five of the optional measures are implemented, five additional points will be added to the **airport category** within the Airport Capital Program project priority system.

It is the sponsor's responsibility to keep DOAV **apprised** of completed security improvements **and to provide DOAV with documentation of semi-annual self-audits** in order for the sponsor to receive the bonus points.

If a sponsor fails to maintain the "Secure Virginia Airport" certification in accordance with this program, the sponsor will no longer receive additional points for the priority scoring of capital project requests.

14.0 Licensing of Public-use Airports

Public-use airports are required to be licensed with the Virginia Department of Aviation under *Code of Virginia* §5.1-7 and *Virginia Air Regulations* 24 VAC 5-20-120 through 180. The licensure of an airport does not relieve the sponsor of any compliance responsibilities relating to any law, ordinance, or regulation of any local governmental body.

Adequate liability insurance and compliance with state standards are required for the licensing of public-use airports in accordance with *Code of Virginia* §5.1-88.7. The public-use license can be suspended or revoked for any airport for which adequate insurance is not maintained.

14.1 Public-use Airport License

The public-use airport license identifies a facility that is open to the public on a nondiscriminatory basis, has sufficient financial responsibility to adequately protect public safety and investment, and is eligible to receive public financial support. A public-use airport license, valid for a seven-year period, is issued in two parts, with Part 1 being a formal certificate and Part 2 documenting compliance with runway safety standards that are in accordance with *Code of Virginia* §5.1-7.

A fee is charged to initiate, amend, or renew a license for a public-use airport. The fee cannot exceed \$100; the current licensing fee is \$25. Licensing fees are placed in the Aviation Special Fund and must be used for aviation purposes.

14.1.1 License Application

Airport sponsors will need to apply for a public-use airport license for a new airport or a license amendment when a runway is added or extended. The completed application form will need to be submitted to DOAV with the following required documentation:

- a signed copy of the resolution of the airport's governing body or owner supporting or requesting a new airport, a new runway, or a runway extension
- a copy of the airport's property map displaying the proposed airport, runway extension, or new runway, together with a copy of the most recently approved airport master plan or layout plan showing the proposal
- a letter or other documentation that the proposed airport, new runway, or runway extension complies with local zoning ordinance and requirements
- a completed copy of Federal Aviation Administration *Form 7480-1: Notice of Landing Area Proposal*
- an environmental assessment report of analysis prepared pursuant to *Code of Virginia* §5.1-7 if applicable
- evidence of financial responsibility in the amounts specified in *Code of Virginia* §5.1-88.8

48 **14.1.2 License Renewal**

49 DOAV will notify sponsors when their licenses need to be renewed. Renewed
50 licenses are valid for seven years. A staggered schedule for renewals has been
51 established so all renewals are not due at the same time. The renewal of amended
52 licenses will be based on the schedule instead of the date of the amendment.
53

54 Sponsors need to complete a *Virginia Public-Use Airport License Renewal Form* and
55 submit it to DOAV along with the license fee and a copy of the *Annual Certificate of*
56 *Financial Responsibility*. If a sponsor does not take action upon notification and the
57 license is not renewed, the sponsor will not be eligible to receive any state funding for
58 a new project.
59

60 When changes to an airport's physical infrastructure and approaches deviate from
61 the previous issuance of a license, the license may not be renewed, or it could be
62 revoked.

Appendix A: Airport Capital Program Eligible Project Examples

Through the Airport Capital Program, the Virginia Department of Aviation offers funding for various development projects for airports. This appendix provides details on the most common types of eligible projects. Sponsors should contact DOAV regarding the eligibility of other projects.

Type of Project	Section
aircraft removal systems	A.19
airport rescue and fire fighting buildings	A.16
airport rescue and fire fighting equipment and related safety equipment	A.15
airport security facilities, systems, and equipment for FAR Part 139 airports	A.17
clearing and grubbing	A.12
compliance manuals for Far Part 139 and TSAR 1542	A.18
debt service retirement	A.20
drainage projects	A.5
engineering projects	A.4
environmental compliance and mitigation projects	A.2
environmental studies	A.1
facility and equipment projects	A.11
facility and equipment projects for FAR Part 139 airports	A.22
fueling systems	A.7
grading	A.5
hangar site preparation	A.6
land acquisition	A.3
land use studies	A.1
maintenance equipment purchases for FAR Part 139 airports	A.24
maintenance equipment storage buildings	A.8
maintenance projects	A.11
maintenance of facilities for FAR Part 139 airports	A.23
obstruction removal	A.13
paving and pavement rehabilitation	A.5
planning studies	A.1
relocation of facilities	A.10
security projects	A.11
snow and ice removal equipment	A.14
training and related materials for FAR Part 139 and TSAR	A.21
utility systems	A.9

	Type of Project	Explanation
A.1	airport planning, environmental, and land use studies	<p>Eligible airport planning, environmental, and land use studies for the purpose of future planning and investigating environmental impacts include but are not limited to:</p> <ul style="list-style-type: none"> • airport master plans and updates • airport layout plans and updates • regional system planning studies • airport feasibility and site selection studies • terminal building planning conceptual studies • terminal area plans • airport property map (Exhibit A) • environmental assessments (EA) • environmental impact statements (EIS) • FAR Part 150 noise studies • FAR Part 106 architectural, archeological, and cultural resources surveys • land use plans • airport access studies • drainage studies • storm water pollution prevention plans (SWPPP) • spill prevention plans (SPCC) • oil discharge contingency plans (ODC) • wildlife studies • wildlife mitigation measures
A.2	environmental compliance and mitigation projects	<p>The construction of facilities and the purchase of equipment needed to comply with Virginia Department of Environmental Quality and EPA regulations, as well as Best Management Practices as determined as reasonable by DOAV, are eligible.</p> <p>Eligible environmental projects include but are not limited to:</p> <ul style="list-style-type: none"> • wash racks • oil and water separators • deicing fluid containment and treatment systems and equipment

	Type of Project	Explanation
A.3	land acquisition	<p>Land acquisition may be made by fee simple purchase or easement, depending on the interest required. Easement interest may be sufficient for land in the transitional surfaces or land extending a short distance beyond the RPZ. Acquisition may also take the form of a donation, an exchange, or eminent domain (condemnation). In all cases, land must be acquired in accordance with the <i>Code of Virginia</i> and the federal <i>Uniform Relocation Assistance and Real Property Acquisition Policies Act of 1970</i>, as amended.</p> <p>Land acquisition for the following safety areas and uses is eligible:</p> <ul style="list-style-type: none"> • airport facilities • approach, runway protection, and transitional surfaces in accordance with FAR Part 77 and <i>Virginia Aviation Regulations</i> 24 VAC 5-20-140 • airport ground access to the nearest public highway • environmental protection and mitigation • approach lighting systems <p>For land acquisition to be eligible for Airport Capital Program funds:</p> <ul style="list-style-type: none"> • the land to be acquired must be shown on the approved airport layout plan as being required for airport property • the sponsor is required to obtain an appraisal, and a review appraisal must be made to qualify the original appraisal • just compensation and/or any relocation expenses must be paid to the property owner <p>Reimbursable costs for land acquisition and land acquisition services include:</p> <ul style="list-style-type: none"> • appraisal costs • costs for environmental due diligence audits • legal costs • court costs and attorney fees in condemnation cases <p>Privately-owned, public-use airports must be designated in <i>VATSP</i> and <i>NPIAS</i> as a reliever airport in order for land acquisition to be an eligible project. The purchase of land for airport expansion is not an eligible project for local service airports.</p> <p>Land acquisition differs from other eligible items as land value will generally increase over time. For this reason, the assurances which are part of the grant agreement apply in perpetuity instead of being limited to 20 years like assurances for planning or construction projects. Land which had been acquired with state funds and is no longer required for future airport development may be disposed in accordance with state and federal laws and regulations, as applicable. If a sponsor disposes of land acquired using state funds and the sponsor receives a payment from the disposal, the sponsor must reimburse the state with a percentage of the selling price. That percentage must match the state's participation rate in the grant agreement for the land acquisition.</p>

	Type of Project	Explanation
A.4	engineering projects	<p>Eligible airport engineering projects include but are not limited to:</p> <ul style="list-style-type: none"> • preliminary engineering <ul style="list-style-type: none"> ◦ land surveys ◦ geotechnical surveys • engineering design • obstruction analysis • construction <p>The provision or conversion of drawings and documents to a GIS format as part of another project, such as a design project or ALP update, is also eligible. The GIS format must be in accordance with DOAV approved standards.</p>
A.5	grading, paving, pavement rehabilitation, and drainage	<p>Grading, paving, pavement rehabilitation, and drainage projects that support public-use, non-revenue producing facilities located on airport property are eligible. Hangar site preparation is the exception to the non-revenue producing facility criteria, as noted in section A.6 hangar site preparation.</p> <p>Examples of eligible projects include, but are not limited to:</p> <ul style="list-style-type: none"> • runways • public-use taxiways and taxilanes • public aprons • public tie-down areas • aprons for clear span hangars that will be owned and maintained by the sponsor • automobile parking that is not revenue producing • public access roads on airport property as described in Appendix C Access Roads • airfield perimeter roads as described in Appendix C Access Roads • taxiways serving T-hangars • public-use taxiways serving industrial parks where: <ul style="list-style-type: none"> ◦ industrial parks are established or proposed ◦ industrial parks are included in the local jurisdiction's approved comprehensive land use plan ◦ the land on which the taxiway is to be construct must be dedicated as airport property ◦ state funding participation cannot exceed a third of the eligible project's cost • pavement overlays and rehabilitation where a determination is made of a strength deficiency, an unsafe condition, or a requirement to preserve a facility which would otherwise develop into an unsafe or unusable public facility • pavement grooving when justified

	Type of Project	Explanation
A.6	hangar site preparation	<p>Design and construction projects for hangar site preparation are eligible under the following conditions:</p> <ul style="list-style-type: none"> • a t-hangar will be owned by the sponsor and leased to individual aircraft owners for aircraft storage by the sponsor for a fixed term on a non-discriminatory basis • a clear span hangar will be owned by the sponsor and leased to a single or multiple tenants for aircraft storage by the sponsor for a fixed term on a non-discriminatory basis • a hangar for public use will be constructed by a private party on airport property where all the following are met: <ul style="list-style-type: none"> ○ the sponsor advertises the availability of the property through the Request for Proposal process in accordance with the Virginia Public Procurement Act ○ the property is the subject of a fixed term lease ○ the hangar will become the property of the sponsor at the end of the lease ○ the lease is approved by DOAV prior to the execution of a grant agreement in accordance with <i>Code of Virginia</i> §5.1-40 <p>Hangars are to be used for aircraft storage only and shall not be used as a site to conduct a private business or as an area for non-aeronautical purposes. If at any time during the 20 years of the grant term the sponsor elects to convert aircraft storage space to a revenue producing use or for storage of items other than aircraft, the sponsor must reimburse the state for the usage change at a prorated share.</p> <p>Hangar site preparation includes:</p> <ul style="list-style-type: none"> • grading • drainage • paving for public access and parking on both air and land sides <p>Site work will be guided by local building codes and subject to approval by DOAV.</p> <p>Projects that are not eligible are:</p> <ul style="list-style-type: none"> • site preparation for a hangar that will have exclusive, permanent proprietary use • specialty items associated with identification and beautification such as signage and shrubbery • construction of a hangar building <p>If an airport sponsor chooses to use its federal non-primary entitlement funds to construct a hangar, the state will only participate in the hangar site preparation.</p>

Type of Project	Explanation								
A.7 fueling systems	<p>The removal of old fueling systems and the construction or installation of new systems are eligible as described below. The VAB has placed a lifetime limit of \$125,000 in state funds per airport for all of an airport's fueling system projects.</p> <p>For the removal of an old fueling system, the state's funding participation is 80 percent of all costs associated with the closure and removal of a non-complying system. The prerequisites for this type of project are that:</p> <ul style="list-style-type: none"> the old system is owned by the sponsor the old system does not comply with Environmental Protection Agency (EPA) requirements <p>For the construction or installation of a new fueling system, the state's funding participation of the total project cost is based on the fuel flowage for the 12 months prior to the project request as follows:</p> <table border="1" data-bbox="641 535 771 1354"> <thead> <tr> <th>Fuel Flowage (gals)</th><th>State Participation</th></tr> </thead> <tbody> <tr> <td>≤ 75,000</td><td>2/3</td></tr> <tr> <td>75,001 – 150,000</td><td>1/2</td></tr> <tr> <td>>150,000</td><td>1/3</td></tr> </tbody> </table> <p>The prerequisites for this type of project are that:</p> <ul style="list-style-type: none"> the new system will be owned by the sponsor the new system will comply with EPA requirements the non-complying system must be removed before construction or installation of the new system, as mandated by the Virginia Department of Environmental Quality (DEQ) <p>DEQ may have funds available to assist with the removal of non-complying systems.</p>	Fuel Flowage (gals)	State Participation	≤ 75,000	2/3	75,001 – 150,000	1/2	>150,000	1/3
Fuel Flowage (gals)	State Participation								
≤ 75,000	2/3								
75,001 – 150,000	1/2								
>150,000	1/3								

	Type of Project	Explanation
A.8	maintenance equipment storage buildings	<p>For the design and construction costs of a basic storage building for the protection of airport maintenance equipment, the state participation rate is 80 percent for up to 1,250 square feet of eligible building space and 50 percent for footage exceeding 1,250 square feet. The maximum eligible project cost shall not exceed \$50 per square foot. Sponsors would be responsible for all costs exceeding \$50 per square foot. DOAV will evaluate design plans to determine square footage needs and state participation.</p> <p>Private areas, such as office space and restrooms, are not eligible for state participation. The costs for designing and constructing utility systems to service the storage building are not eligible for state funding.</p> <p>If at any time during the 20 years of the grant term the sponsor elects to convert storage space to other uses, the sponsor must reimburse the state for the usage change at a prorated share.</p>
A.9	utility systems	<p>The design and construction of utility systems that serve eligible public-use facilities within the contiguous airport property boundary are eligible for Airport Capital Program funding. These utilities include electricity, water, sewer, and communications. The cost of utility systems serving both public and non-public spaces will be prorated on the basis of the percentage of the public space to the total building area. Utility systems serving F&E systems will be funded in accordance with the F&E program.</p> <p>Emergency generators that provide auxiliary power for airside lighting and navigational aids at air carrier and reliever airports are eligible. The acceptable size and output of this equipment will be based on reasonable and necessary operational requirements.</p>
A.10	relocation of facilities	<p>The relocation of a facility is eligible when a facility:</p> <ul style="list-style-type: none"> • creates an obstruction to navigable airspace in accordance with FAR Part 77, <i>Virginia Aviation Regulations</i> 24 VAC 5-20-140, or <i>FAA Advisory Circular 150/5300-13</i> • interferes with approved development • is or will be impacted by development • is or will be used in a manner not consistent with airport operations or minimum standards <p>The demolition of facilities on airport property that are not required for aeronautical purposes is also eligible for state funding.</p>

	Type of Project	Explanation
A.11	facility and equipment, security, and maintenance projects	<p>When funds are not available under the Facilities and Equipment Program, the Voluntary Security Program, or the Maintenance Program or when a sponsor has reached the \$100,000 fiscal year limit for maintenance allocations per airport, a sponsor may submit project requests under the Airport Capital Program for consideration by the Virginia Aviation Board. The projects must meet the eligibility requirements of the appropriate program, and the required supporting documents for the Airport Capital Program must be provided as part of the project request submission.</p> <p>In such a case for a Part 139 airport, if the Virginia Aviation Board specifically approves the use of state entitlement funds for proposed facilities and equipment projects, a sponsor will not be subject to the fiscal year approval restriction and reimbursement requirement described in 3.1.1.3 Air Carrier Utilization of State Entitlement and Discretionary Funds.</p>
A.12	clearing and grubbing	<p>Clearing and grubbing of airport property are eligible under the Airport Capital Program.</p> <p>Eligible projects include, but are not limited to:</p> <ul style="list-style-type: none"> • airport facility construction • obstruction removal in accordance with FAR Part 77, <i>Virginia Aviation Regulations</i> 24 VAC 5-20-140, or <i>FAA Advisory Circular 150/5300-13</i>

	Type of Project	Explanation
A.13	obstruction removal	<p>An obstruction removal project to bring an airport into compliance with FAR Part 77, <i>Virginia Aviation Regulations</i> 24 VAC 5-20-140, or <i>FAA Advisory Circular 150/5300-13</i> is eligible.</p> <p>Eligible projects include but are not limited to:</p> <ul style="list-style-type: none"> • cutting trees • dismantling an abandoned tower <p>When federal funding will be used for an obstruction removal project, the state will participate at the prevailing rate for federally funded projects. When federal funding will not be used for an obstruction removal project, an airport sponsor needs to use the balance of the sponsor's fiscal year maintenance allocation up to \$100,000 for the obstruction removal. If the cost of the project exceeds that balance, the sponsor will need to apply to the VAB for Airport Capital Program funds for the state's participation in the remainder of the project. Obstruction removal projects will be considered as regular maintenance projects, and the state's participation rate will be 80 percent. These state rates apply regardless of the funding program utilized.</p> <p>When the sponsor owns the property, DOAV will reimburse the sponsor one time to remove the trees. DOAV encourages the sponsor to clear and grub the area to a condition suitable for mowing. The state's participation rate will be 80 percent.</p> <p>When the sponsor's avigation easement or agreement only permits the topping of trees, DOAV will reimburse the sponsor to cut the trees on an as needed basis in accordance with easements and agreements. The state's participation rate will be 80 percent.</p> <p>When the sponsor's avigation easement permits the sponsor to clear cut the trees to ground level, DOAV will reimburse the sponsor one time to cut the trees. DOAV encourages the sponsor to clear and grub the area to a condition suitable for mowing if the avigation easement so permits. The state's participation rate will be 80 percent.</p> <p>Sponsors of FAR Part 139 airports may use state entitlement funds for obstruction removal projects. If a sponsor uses state entitlement funds for an obstruction removal project, the sponsor will not be subject to the fiscal year approval restriction and reimbursement requirement described in 3.1.1.3 Air Carrier Utilization of State Entitlement and Discretionary Funds. Sponsors of FAR Part 139 airports can request state discretionary funding for obstruction removal when the sponsor has exceeded the sponsor's fiscal year maintenance allocation of \$100,000 or maintenance funds are not available for such projects.</p>

	Type of Project	Explanation
A.14	snow and ice removal equipment	<p>The purchase of equipment for snow and ice removal and treatment is eligible for FAR Part 139 airports, in accordance with their approved snow removal equipment plans as they relate to meeting federal certification requirements of FAR Part 139. FAR Part 139 projects eligible for state participation are those directly related to meeting safety and performance standards established by FAR Part 139.</p> <p>The purchase of equipment for snow and ice removal and treatment that exceeds a FAR Part 139 airport's snow removal equipment plan and is appropriate for the size and location of the airport is eligible as an entitlement only project.</p> <p>Aircraft deicing equipment is not eligible for any state funding.</p> <p>The purchase of certain equipment attachments for snow removal at airports without commercial service is eligible under the Maintenance Program.</p>
A.15	airport rescue and fire fighting equipment and related safety equipment	<p>Sponsors of FAR Part 139 airports may use Airport Capital Program funds to purchase airport rescue and fire fighting (ARFF) and safety equipment related to compliance with FAR Part 139. FAR Part 139 projects eligible for state participation are those directly related to meeting safety and performance standards established by FAR Part 139.</p> <p>Eligible equipment includes but is not limited to:</p> <ul style="list-style-type: none"> • large equipment such as ARFF trucks • turn out gear • self contained breathing apparatus • trailer to transport additional foam materials <p>The construction of ARFF simulator facilities and the provision of its related equipment, such as simulator pad, airfield access, and propane, needed to conduct FAR Part 139 training using the state simulator are eligible as entitlement only projects.</p> <p>The purchase of 150-pound fire extinguishers for use on aircraft parking aprons at any public-use airport is eligible for state funding.</p>
A.16	airport rescue and fire fighting buildings	<p>Airport Capital Program funds may be used for the construction of airport rescue and fire fighting (ARFF) buildings related to compliance with FAR Part 139. FAR Part 139 projects eligible for state participation are those directly related to meeting safety and performance standards established by FAR Part 139.</p> <p>The installation of airfield hydrant systems for recharging ARFF vehicles is an eligible project.</p>

	Type of Project	Explanation
A.17	airport security facilities, systems, and equipment for FAR Part 139 airports	<p>For Part 139 airports, the construction of facilities and the purchase of systems and equipment that are directly related to meeting safety and performance standards established by TSAR are eligible.</p> <p>Eligible projects include but are not limited to:</p> <ul style="list-style-type: none"> • security fencing and gates • access control system • CCTV systems • perimeter lighting • security checkpoints • in-line EDS baggage systems • terminal building modifications to provide for the screening of people and baggage • terminal explosion and intrusion reinforcement and protection • glass treatment to reduce blast damage and injury • blast studies • vehicle inspection facilities • fingerprinting and badging stations • firearms and body armor for law enforcement officers employed by the airport <p>The purchase of firearms and body armor for law enforcement officers are eligible as entitlement only projects.</p>
A.18	compliance manuals for FAR Part 139 and TSAR 1542	<p>The development and revision of manuals needed for compliance with FAR Part 139 and TSAR 1542 are eligible projects. FAR Part 139 projects eligible for state participation are those directly related to meeting safety and performance standards established by FAR Part 139.</p> <p>Eligible manuals include but are not limited to:</p> <ul style="list-style-type: none"> • certification manuals which contain emergency plans • security plans and programs
A.19	aircraft removal systems	<p>Aircraft removal systems at FAR Part 139 airports are eligible. State entitlement funds are the only state funding source that can be used to fund aircraft removal systems. Sponsors should seek funding from other sources, such as the Federal Aviation Administration, prior to using state entitlement funds for these systems. FAR Part 139 projects eligible for state participation are those directly related to meeting safety and performance standards established by FAR Part 139.</p>
A.20	debt service retirement	<p>Sponsors of FAR Part 139 airports may use state entitlement funds for debt service retirement for state eligible project costs. State discretionary funds cannot be used for debt service retirement. The state's participation rate is based on the rate appropriate to the subject project.</p>

	Type of Project	Explanation
A.21	training and related materials for FAR Part 139 and TSAR 1542	<p>Sponsors of FAR Part 139 airports may use state entitlement funds only to procure equipment, videos, and consultant services used to meet FAR Part 139 and TSAR 1542 training requirements. FAR Part 139 projects eligible for state participation are those directly related to meeting safety and performance standards established by FAR Part 139.</p> <p>Eligible projects include but are not limited to:</p> <ul style="list-style-type: none"> • interactive training equipment • interactive training media • use of training consultants and facilitators <p>This project will receive no project merit points when evaluated for project priority scoring as noted in 3.1.1.3.1 Entitlement Only Projects.</p>
A.22	facilities and equipment for FAR Part 139 airports	<p>Sponsors of FAR Part 139 airports may use state entitlement funds for all projects eligible under the Facilities and Equipment Program.</p> <p>If sponsors use state entitlement funds for facilities and equipment projects, the sponsor shall not be eligible to receive approval for any funding from the Facilities and Equipment Program during the fiscal year in which the state entitlement funds are spent. If it is determined during the review of an entitlement utilization report that a sponsor used entitlement funds for facilities and equipment projects and also used Facilities and Equipment Program funds for other eligible projects, the sponsor must reimburse the state for the Facilities and Equipment Program grants or allocations approved within that fiscal year.</p>

	Type of Project	Explanation
A.23	maintenance of facilities for FAR Part 139 airports	<p>Sponsors of FAR Part 139 airports may use state entitlement funds for all projects eligible under the Maintenance Program.</p> <p>Sponsors of FAR Part 139 airports may use state entitlement funds only to secure maintenance contracts and repairs related to systems and equipment. Eligible systems and equipment include but are not limited to:</p> <ul style="list-style-type: none"> • elevators • escalators • security access systems • CCTV systems • terminal HVAC systems • systems not generally maintained by airport personnel <p>Multi-year maintenance contracts would need to be programmed for each year of the contract.</p> <p>If sponsors use state entitlement funds for maintenance projects or contracts, the sponsor shall not be eligible to receive approval for any funding from the Maintenance Program during the fiscal year in which the state entitlement funds are spent, except as otherwise described in 6.7.5 Facilities and Equipment, Security, and Maintenance Requests; 9.0 Maintenance Program; Appendix A section A.11 facility and equipment, security, and maintenance project requests; and Appendix A section A.14 obstruction removal. If it is determined during the review of an entitlement utilization report that a sponsor used entitlement funds for eligible maintenance projects and also used Maintenance Program funds for other eligible projects, the sponsor must reimburse the state for the Maintenance Program grants or allocations approved within that fiscal year.</p> <p>These projects will receive no project merit points when evaluated for project priority scoring as noted in 3.1.1.3 Air Carrier Utilization of State Entitlement and Discretionary Funds.</p>

	Type of Project	Explanation
A.24	maintenance equipment purchases for FAR Part 139 airports	<p>Sponsors of FAR Part 139 airports may use state entitlement funds to purchase maintenance equipment related to compliance with FAR Part 139 or to the safety and security of the airport. FAR Part 139 projects eligible for state participation are those directly related to meeting safety and performance standards established by FAR Part 139. All of the equipment eligible under the Maintenance Program may be purchased with state entitlement funds. The dollar limits and associated time period, described in 9.2 State Participation and 9.2.2 Purchase of Maintenance Equipment, do not apply to this type of purchase. In addition, the state's participation rate is 80 percent for this type of purchase.</p> <p>Other types of eligible maintenance equipment include but are not limited to:</p> <ul style="list-style-type: none"> • crack sealing equipment • large embankment mowers • lifts • bucket trucks • FOD collectors • runway sweepers • friction testers • airfield rubber and paint removal equipment <p>If sponsors use state entitlement funds for the purchase of maintenance equipment, the sponsor shall not be eligible to receive approval for any funding from the Maintenance Program during the fiscal year in which the state entitlement funds are spent. If it is determined during the review of an entitlement utilization report that a sponsor used entitlement funds for the purchase of maintenance equipment and also used Maintenance Program funds for other eligible projects, the sponsor must reimburse the state for the Maintenance Program grants or allocations approved within that fiscal year.</p>

Appendix B: Terminal Buildings

Terminal buildings provide a connection between airside and landside operations and, within the buildings, a variety of services is offered to users and visitors. The Virginia Department of Aviation participates in the planning, design, construction, and certain maintenance activities for terminal buildings. The state's participation is based on the amount of public-use space provided inside a terminal building.

B.1 Public-Use Space

Common-use public areas that are directly related to the movement of passengers and baggage in air carrier terminal buildings are eligible for state funding. Non-revenue producing areas and joint-use space in terminal buildings are eligible for state funding.

Public-use space is defined as terminal building space that:

- is used for public benefit and convenience
- does not produce revenue for the sponsor or any other public or private entity

Incidental use of public space for advertising public events, posting public notices, or housing vending machines for public convenience is permissive in public-use spaces. The costs associated with adapting a building to provide for these incidental uses are not eligible for state funding.

Private-use space is defined as terminal building space that:

- is used to produce revenue
- is used for administrative activities

A sponsor may lease space for any public or private activity if the use does not interfere with aeronautical activities and complies with *Virginia Aviation Regulations* 24-VAC-10 seq., state grant assurances, and federal grant assurances as applicable. Sponsors should follow the *Virginia Public Procurement Act* when leasing terminal building space.

If a sponsor converts public-use space into private-use or revenue producing space during the commitment term specified in a grant agreement, the sponsor must reimburse the state for its share of the cost to build that space. That amount will be prorated based on the number of months remaining in the commitment term of the grant agreement. If private-use space is converted to public-use space, DOAV will not reimburse a sponsor for the change in space usage.

44

Public-Use Space	Private-Use Space
<ul style="list-style-type: none"> • lobbies • flight planning areas • pilot lounges • 400 square feet of conference room • public restrooms • baggage claim delivery areas • associated automated baggage handling equipment • public-use corridors to boarding areas • loading bridges • passenger vehicles moving between or within terminal facilities exclusively within airside areas • central waiting areas • holding areas for air freight loading and unloading 	<ul style="list-style-type: none"> • cleaning and laundry areas • public lockers and showers • pilot sleep rooms • restaurants • sponsor and management offices • fixed base operations • aircraft maintenance areas • rental car services • entertainment areas such as game rooms • communication equipment, such as telephones and fax machines, in business and related centers

45

46 B.1.1 Joint-Use Space

47 Some areas and equipment serve both public-use and private spaces. These
48 include:

49

- 50 • circulation areas including vestibule areas
- 51 • storage areas for janitorial equipment used for accommodating public health
52 and safety
- 53 • mechanical areas for heating, air conditioning, ventilation, and utility systems

54

55 State participation in these joint-use spaces will be prorated based upon the ratio of
56 eligible DOAV funded public-use space to total building space minus the joint-use
57 space.

58

59 B.1.2 Conference Room Space

60 State funding may be used in the construction of a maximum of 400 square feet of
61 conference room space within a terminal when the conference room space will be
62 used for meetings with industrial and business prospects and meetings related to
63 airport business. This space may also be made available on an expense-defraying
64 basis for meetings and conferences for groups or companies. The utilization of
65 conference rooms for economic development efforts and activities should have
66 priority over other uses.

67

68 B.1.3 Federal Inspection Facilities

69 The construction or improvement of federal inspection facilities, including baggage
70 handling equipment, is eligible for state funding. Administrative office space and
71 special purpose equipment are not eligible. Sponsors may not charge for the use of
72 this space or related equipment.

73

Example: Determining a Public-Use Funding Ratio and Square Footage

A new terminal building will have the following square footage:

- 5,000 total square footage
- 2,500 public-use space square footage
- 1,500 private-use space square footage
- 1,000 joint-use space square footage

To determine the public-use funding ratio for this terminal:

Step 1: Figure the terminal space that is not joint-use space:

total square footage – joint-use space square footage

$$5,000 - 1,000 = 4,000$$

Step 2: Figure the ratio of public-use space square footage to total square footage to determine the DOAV eligible percentage:

public-use space square footage ÷ total square footage

$$2,500 \div 4,000 = 0.625 \text{ or } 62.5 \text{ percent}$$

Step 3: Figure the portion of the joint-use space square footage that is considered as public-use space:

public-use ratio x joint-use space square footage

$$0.625 \times 1,000 = 625$$

Step 4: Calculate the total square footage eligible for DOAV funding:

public-use space square footage + public-use share of joint-use space square footage

$$2,500 + 625 = 3,125$$

Step 5: Calculate the percentage of eligible for DOAV funding:

total square footage eligible for DOAV funding ÷ total square footage

$$3,125 \div 5,000 = 0.625 \text{ or } 62.5 \text{ percent}$$

B.1.4 Accessibility Features

Fixed terminal facilities and equipment, including boarding devices required by 49 CFR 27, that provide access for people with disabilities are eligible if:

- they are a part of the design of a new terminal or renovation
- they are required by federal or state law

B.2 Terminal Building Conceptual Studies

Terminal building conceptual studies **approved by DOAV** are required for all airports, except local service airports, before design can begin for a new structure, a replacement structure, or an expansion of an existing structure. These studies need to include:

- detailed project description
- justification for the proposed project
- conceptual analysis
- geometric design
- justification for requested deviations from standards
- construction cost analysis
- project schedule
- project cost
- project budget

For reliever, regional, and community service general aviation airports, the studies must provide an analysis of the public and non-public use space based on DOAV's 20-year funding eligibility model to determine maximum funding eligibility. A final determination made by such a study is the ratio of eligible DOAV funded space to total building space. DOAV will provide the model calculation to a sponsor upon request. Federal Aviation Administration guidelines for terminal buildings should be used for air carrier airports.

DOAV will fund one terminal building conceptual study project that follows through to design and construction. DOAV will not participate in the cost for any changes to a previously approved study that has not resulted in a construction project.

B.3 Terminal Buildings for Local Service Airports

For local service airports, eligible terminal design and construction are limited to a maximum of **1,236** square feet of public-use, non-revenue producing space, with the state share limited to 90 percent of the total eligible project costs.

A maximum square footage for local service airports has been determined for specific uses as follows:

Space Usage	Maximum Square Footage
passenger and pilot lobby	400
flight planning area	100
restroom – men's	144
restrooms – women's	144
vending area	100
mechanical rooms	100
storage	100
circulation	148

If any dedicated space usage listed above is excluded from a terminal, the associated square footage is deducted from eligible state participation, and it may not be applied to another space usage. The usage listed above is recommended but not required.

B.4 Terminal Building Design and Construction

Terminal development for the purpose of accommodating passengers and cargo activities is eligible for state funding. The development can be a new structure, a replacement structure, or an expansion of an existing structure. The state's participation rate towards the cost of the design and construction of public-use, non-revenue producing areas is up to 100 percent, not to exceed 90 percent of the total project cost. The eligible costs include building design; building site preparation; and the extension of utilities for water, sewer, power, and communications to the building. A terminal building site is considered to be the area of a terminal building's foundation plus a nominal space five feet outside the perimeter of the building's foundation.

Approved terminal building conceptual studies are required for all airports, except those with a local service role, before design work can begin. DOAV has the authority to approve or reject any design, construction method, and materials proposed for a terminal. **All costs for a terminal building that exceed criteria approved by the department will be borne by the sponsor.**

As terminal projects usually involve eligible and ineligible items, the state's participation is prorated for eligible items. When prorating on a square footage basis, construction costs and costs for eligible items are prorated on the ratio of eligible square footage to the total usable square footage of the structure.

B.5 Terminal Area Site Preparation

The area designated for improvements related to a terminal building, such as aircraft parking and auto parking, that is outside of the five-foot perimeter around a terminal building is considered to be the terminal area. Terminal area site preparation is eligible for state funding at a participation rate of 80 percent.

DOAV reserves the right to limit the number of auto parking spaces which will receive state funding participation, based on the public-use space in a terminal building. On average the state funds 13.5 parking spaces per 1,000 square feet of public-use space.

B.6 Terminal Building Operation and Maintenance

By accepting state funding for a terminal building, a sponsor undertakes responsibility for the operation and maintenance of the terminal building. Sponsors are responsible for all operational costs and are expected to keep terminal buildings open during the hours published in the most current edition of FAA's Airport/Facility Directory.

If the repair of a terminal building or the repair/replacement of a terminal building system involves insurable property, sponsors should refer to 3.4 Utilization of Insurance Resources.

B.6.1 Terminal Building Maintenance

The state's participation in the maintenance of a terminal building is based on the original public-use space ratio used for the construction of the building. If the amount of public-use space within a terminal building has changed, the public-use space ratio will be recalculated for the project evaluation process. If the maintenance will affect only public-use space, the state's participation rate will be 80 percent. If the maintenance will affect only private-use space, the state will not participate in the project. Terminal building maintenance will be funded through the Maintenance Program.

Eligible maintenance activities include, but are not limited to, exterior and interior painting; repairs to plumbing systems, electrical systems, and roofs; window replacement; and lighting repairs. Ineligible activities include, but are not limited to, janitorial services, wallpapering, window treatments, lamp replacement, and exterior power washing.

B.6.2 Terminal Building Renovation

Renovations to a terminal building are architectural or cosmetic changes made within the existing footprint of the building. These changes may include new carpeting, lighting, wall treatments, furniture, sound proofing, interior structural change, and features to improve access under the Americans with Disabilities Act (ADA).

The state's participation in the renovation of an entire terminal building is based on the original public-use space ratio used for the construction of the building. If the

amount of public-use space within a terminal building has changed or will alter the amount of public-use space, the public-use space ratio will be recalculated for the project evaluation process. If the renovation will affect only public-use space, the state's participation rate is 90 percent of the total eligible cost of the project. If the renovation will affect only private-use space, the state will not participate in the project. Terminal building renovations will be funded through the Airport Capital Program.

When a project request is for the renovation of a terminal building, DOAV must first inspect the current condition of the building to determine necessary improvements. Based on the scope of the project, a terminal building conceptual study addressing the renovation may be required. DOAV will review and approve plans and specifications.

B.6.3 Terminal Building System and Equipment Replacement

Terminal building systems and equipment eligible for replacement include, but are not limited to, carpeting, flooring, HVAC, ceiling tiles, roof bladders, elevators, escalators, lighting, flight information display systems, or public address systems.

The state's participation in the replacement of a terminal building system or equipment serving the entire building is based on the original public-use space ratio used for the construction of the building. If the amount of public-use space within a terminal building has changed or the replacement of a terminal building system will alter the amount of public-use space, the public-use space ratio will be recalculated for the project evaluation process. If the system or equipment serves only public-use space, the state's participation rate is 100 percent of the total eligible cost of the project. If the system or equipment serves only private-use space, the state will not participate in the project. Terminal building system and equipment replacements will be funded under the Maintenance Program, and if those funds are not available, the project may be funded under the Airport Capital Program.

When a project request is for the replacement of a terminal building system, DOAV may request a detailed professional analysis of the current system or will physically inspect the site to determine eligible improvements. DOAV will review and approve plans and specifications.

B.6.4 Terminal Building Expansion

An expansion of a terminal building will create a change in the existing footprint of the building. A terminal building conceptual study will be required for an expansion.

The state's participation in the expansion of a terminal building is based on the public-use space ratio of the expanded space only. Terminal building expansions will be funded through the Airport Capital Program. DOAV will review and approve plans and specifications.

When a sponsor wishes to undertake a project that involves the simultaneous renovation and expansion of a terminal building, a terminal building conceptual study will be required. This study must include the estimated cost of the renovation and the

estimated cost of the expansion as separate cost figures. The square footage of each portion of the project must also be included. During the bid process, the actual cost of the renovation and the actual cost of the expansion must be provided as separate cost figures.

B.7 General Aviation Terminal Building Security Systems

Security systems for new and existing general aviation terminal buildings will be funded through the Voluntary Security Program at the participation rate of 90 percent. A sponsor shall coordinate the inclusion of security systems in the design of a new terminal building at the earliest phase possible. Security systems for existing terminal buildings should be included in airport security plans to be eligible for funding.

B.8 Terminal Building Furniture

The purchase of original furnishings for the public-use portions of terminal buildings is eligible with the state's participation rate at 100 percent. This usually includes seating for waiting areas, conference rooms, and pilot lounges. Replacement furnishings for public-use areas are eligible with the state's participation rate at 50 percent of the eligible cost. The department reserves the right to disapprove the purchase of furniture based on the number of pieces of furniture, style, and material requested.

Ineligible furniture items include but are not limited to:

- trash cans
- window treatments
- artwork
- plants
- business and communications equipment
 - telephones
 - fax machines
- entertainment amenities
 - stereos
 - CD players
 - radios
 - televisions
 - display cabinets
- outside furniture
 - benches
 - rocking chairs
 - lounge chairs
 - stackable non-permanent chairs

B.9 Emergency Medical Equipment

Automatic external defibrillator (AED) devices are eligible for funding based on local codes and reasonable and necessary requirements as determined by DOAV. The state's funding participation is 80 percent.

B.10 Terminal Buildings for Multimodal Service

Multimodal terminal buildings offer mobility for passengers and baggage between two or more modes of transportation that are operating on a scheduled basis under a franchise or similar authority granted by a federal, state, or local agency. These buildings are supported by connecting route networks that extend beyond the local service area. State funds may be used to develop a multimodal, public-use terminal located within the boundaries of an airport, and this usage is subject to the prerequisites and cost participation of regular terminal buildings. Only the portions of the building directly related to aviation use are eligible.

Appendix C: Access Roads

Access roads provide critical links between public-use airport facilities and the public roadway network serving the surrounding area. **Public access roads on airport property provide access to public areas on an airport.** Funding sources for access roads vary based on the location of the roads. The design and construction of access roads built within airport property boundaries are eligible for federal capital improvement funding and state funding through the Airport Capital Program. This type of access road may also be eligible for funding under the Virginia Department of Transportation's Airport Access Program when the road will be accepted into the state's highway system. An access road not located within airport property boundaries is eligible for funding under VDOT's Airport Access Program. These access roads are not eligible for federal or state aviation funding.

If an access road is eligible for federal funding, federal funding must be maximized before a sponsor applies for a state project. VDOT funding for access roads must be maximized before a sponsor seeks funding from the Virginia Department of Aviation for these roads when applicable. VDOT funds are considered state funding when calculating the funding participation ratios when federal, state, and local agency funds will be used to finance the road.

C.1 VDOT Airport Access Program

To assist in the development or improvement of access to licensed public-use airports, VDOT established the Airport Access Program. Under the program, a locality may receive up to \$300,000 in unmatched VDOT funds and up to an additional \$150,000 in matching VDOT funds at a dollar for dollar match. This funding formula for a project results in \$450,000 in funds from VDOT and \$150,000 from local resources for a total of \$600,000. The locality shall be responsible for:

- providing the matching share from sources other than those allocated by the Commonwealth Transportation Board
- providing clear title to the right-of-way prescribed by VDOT
- incurring the cost for utility adjustments
- incurring the cost for environmental studies
- incurring the cost for project related permits

If the access road is located on airport property, DOAV funds may be used at the appropriate participation rate towards the local share to match VDOT funds. The DOAV funds may also be used to assist with securing right of way, utility adjustments, and environmental studies.

Under the Airport Access Program, eligible projects are the design and construction of:

- new access roads for a new airport or a new facility being constructed on airport property, such as an air cargo apron or building
- upgrades to an existing access road, including widening and adding turn lanes

Eligible items within a project are those items necessary for the design and construction of an adequate roadway facility to serve traffic generated by the airport's operation and include:

- grading
- drainage
- pavement
- traffic control marking and signage

Ineligible items include:

- storm sewers
- curb and gutter
- landscaping
- pavement in excess of that required to serve the forecasted traffic resulting from the development of the airport

This program is open to counties, cities, and certain towns, so airport sponsors must apply to this program through their local governing body.

Airport sponsors should contact VDOT for detailed information on the program. VDOT's website, www.virginiadot.gov, provides program and contact information.

C.2 Perimeter Roads

A perimeter road provides restricted access within an airport's airfield. These perimeter roads are used by airport, DOAV, and FAA staff to conduct business activities, such as security checks and maintenance work, on an airport. Airport Capital Program funds may be used to design and construct perimeter roads.

C.3 Maintenance of Access and Perimeter Roads

State funding may be used to maintain portions of access and perimeter roads for which state aviation funding was used for road design and construction. The state participation rate for access and perimeter road maintenance follows the rate structure described in 9.2.1 Facility Maintenance Projects. When a project request is for the maintenance of an access or perimeter road constructed without state aviation funding, DOAV must first inspect the road to determine necessary improvements and eligibility.

Appendix D: Project Priority System Scoring Values

Project Category: Project Merit

Safety		Capacity Increases	
obstruction removal requiring the displacement of the runway threshold and relocation of runway lighting	55	landing area improvements to handle operation capacity problems, such as additional taxiways and parallel runways	20
obstruction removal to meet FAA Part 152 RPZ, FAR Part 77 imaginary surface requirements, and VAR 3-3	52	landing area improvements for larger critical aircraft, such as runway lengthening and strengthening; primary runway for new airport	19
repair of runway lighting system which is not functional	50	taxiway construction or strengthening; primary taxiway for new airport	18
runway friction surface, grooving or other action directly related to safety; correction of runway failures severe enough to be obvious safety problem.	48	apron expansion for number of aircraft capacity reasons; apron for new airport	17
miscellaneous TSA 1542 security requirements and ARFF vehicles/equipment required at air carriers; minimum safety equipment at general aviation airports	46	apron expansion or strengthening because of critical aircraft considerations	16
special lighting above normal lighting package due to safety hazards	45	taxiway to new apron or apron construction to open new area of airport; T-hangar taxiways and T-hangar site preparation	15
runway safety area	44	auto access road capacity increase (additional lanes or to new area of airport)	14
safety condition identified by professional evaluation or accident statistics	43	auto parking	13
AWOS, rotating beacon, segmented circle, navigational aids/visual aids	42	terminal	12
Preservation of Existing System		Upgrades to Standards	
primary runway	40	primary runway	30
taxiway serving primary runway	39	primary taxiway	29
secondary runway	38	apron	28
taxiway serving secondary runway	37	secondary runway	27
apron	36	secondary taxiway	26
auto access road	35	perimeter fencing	25
terminal	34	auto access road	24
auto parking	33	terminal	23
other eligible facilities	32	auto parking	22
		other eligible items	21
Planning		Land / Easement Acquisition	
master plans, ALPs, and site selection (includes updates)	40	land / easement acquisition for obstruction removal	50
regional system plans	30	land / easement acquisition for RPZs	40
airport feasibility and management plans	29	land / easement acquisition for operational capacity problems	27
terminal area and building plans	27	land / easement acquisition for noise control or noise control required project	25
air service and air cargo plans	25	land acquisition for new airport	20
multimodal plans	19	land / easement acquisition for future expansion	15
other special plans (economic, F&E, airspace and Part 77, zoning and land-use, etc.)	15		
Environmental		Maintenance Equipment / Fueling System	
environmental assessments and impact statements	40	underground storage tank closure	30
wetlands delineation and mitigation	35	tractor	15
washrack and detention basin	30	snow blade unit	14
FAR Part 150 studies	25	mower unit	13
historical and archeological, Section 106 studies	23	sprayer unit	12
SWPPP, SPCC, ODC, etc. (includes updates)	20	new fuel system	10
bird - landfill proximity studies	15	upgrade of fuel system	8
other special studies (flood plain analysis, endangered species, etc.)	10	other facilities	6
other environmental facilities	8		

Project priority points for debt service projects are based on the project type for which the debt was incurred.

Appendix D: Project Priority System Scoring Values

Project Category: Situational Considerations

Special Project Considerations	
PFC project	10
phased project construction or design completed	50
mandated by federal or state law	25
VAB policy	10

Federal Funding Availability	
existing FAA tentative allocation or ACIP within 1 year	20
FAA funds requested	10
not applicable to this project	0
eligible for FAA funds but not requested	-10

Economic Development Potential	
yes	10
no	0

Project Retains or Attracts Commercial Service	
yes	10
no	0

Discretionary Considerations	
local funding participation exceeding minimum requirement	*

*One point is assigned for each percent exceeding the minimum requirement.

Airport Category

Airport Operational Conditions	
Minimum Standards	
minimum safety standards have been established	25
project will assist in meeting minimum standards	10
minimum safety standards have not been met	0

Service Role	
designated reliever airport	10
air carrier airport	0
regional general aviation airport	0
community general aviation airport	0
local general aviation airport	0

Airport Safety Zoning	
yes	10
no	0

Secure Virginia Airport Certification	
meets three minimum elements	10
meets three minimum elements and implements at least five optional recommendations	15

Airport Maintenance	
satisfactory	0
insufficient maintenance	-10

Airport Activity Category

Based Aircraft	
100+	25
50 to 99	20
20 to 49	15
11 to 19	10
0 to 10	5

Operations	
25,001+	25
7,501 to 25,000	20
2,001 to 7,500	15
0 to 2,000	10

Enplanements	
500,000+	25
250,000 to 499,999	20
50,000 to 249,999	15
1,000 to 49,999	10
0 to 999	0

Appendix E: Minimum Standards for Licensing

Code of Virginia §5.1-7 sets the requirement that an airport must meet minimum standards in order to receive and maintain a license. These minimum standards are specified in 24 VAC 5-20-140 of the *Virginia Aviation Regulations* as follows:

- An effective runway length of 2,000 feet, with 100 feet of overrun on each end, and unobstructed approach surfaces of 15:1 horizontal to vertical slope at each end of the runway.
- An unobstructed primary surface(s) which is 2,200 feet in length and 200 feet in width.
- An unobstructed transition surface(s) of 5:1 slope on either side of the primary and approach surfaces.
- A minimum runway width of 50 feet and minimum runway safety area width of 120 feet.
- Aerial ingress and egress shall be available from both ends of the rectangular dimension of a runway.
- A displaced threshold, if an approach surface to either physical end of the runway is obstructed and the obstacle cannot be removed, shall be located down the runway at the point where the obstruction clearance plane intersects the runway centerline.
- An airport runway licensed specifically and solely for the purpose of accommodating short-takeoff-and-landing aircraft may, at the discretion of the department, be less than 2,000 feet in length; however, all other dimensional standards will apply.
- A heliport used for commercial public use purposes will provide for minimum dimensions of 75 feet by 75 feet. The heliport will have unobstructed primary, approach, and transition surfaces in accordance with their definitions in this chapter.
- In addition to the investigation required for safety provisions as outlined in *Code of Virginia* §5.1-7, a detailed consideration of the economic, social, and environmental effects of the airport location shall be conducted. These considerations shall include public hearings as required to assure consistency with the goals and objectives of such planning as has been carried out by the community.
- Proof of financial responsibility prescribed in *Code of Virginia* §5.1-88.7 must be furnished at the time of application of license, and such financial responsibility thereafter must be maintained.

Appendix F: State Participation Rates

The following table summarizes the state's maximum participation rates in state funded projects for general project types. The rates apply only to eligible project costs.

General Project Type	State Participation Rate for State Discretionary and Airport Special Funds	Manual Reference	State Participation Rate for State Entitlement Funds	Manual Reference
planning studies and projects	80%	6.2.2 State Discretionary Funds	80%	6.2.1 State Entitlement Funds
environmental studies and projects	80%	6.2.2 State Discretionary Funds	80%	6.2.1 State Entitlement Funds
land acquisitions and services	80%	6.2.2 State Discretionary Funds	80%	6.2.1 State Entitlement Funds
engineering projects	80%	6.2.2 State Discretionary Funds	80%	6.2.1 State Entitlement Funds
design and construction of airside facilities	80%	6.2.2 State Discretionary Funds	80%	6.2.1 State Entitlement Funds
design and construction of landside facilities	80%	6.2.2 State Discretionary Funds	80%	6.2.1 State Entitlement Funds
fueling systems with annual flowage of ≤ 75,000 gallons	2/3 up to \$125,000	A.7 fueling systems	2/3 up to \$125,000	A.7 fueling systems
fueling systems with annual flowage of 75,001 to 150,000 gallons	1/2 up to \$125,000	A.7 fueling systems	1/2 up to \$125,000	A.7 fueling systems
fueling systems with annual flowage of >150,000 gallons	1/3 up to \$125,000	A.7 fueling systems	1/3 up to \$125,000	A.7 fueling systems
maintenance equipment storage buildings	80% up to 1,250 square feet plus 50% of remaining eligible square feet	A.8 maintenance equipment storage buildings	80% up to 1,250 square feet plus 50% of remaining eligible square feet	A.8 maintenance equipment storage buildings
terminal building conceptual studies	80%	6.2.2 State Discretionary Funds	80%	6.2.1 State Entitlement Funds
terminal buildings	based on public-use space	B.4 Terminal Building Design and Construction	based on public-use space	B.4 Terminal Building Design and Construction
terminal area site preparation	80%	B.5 Terminal Area Site Preparation	80%	B.5 Terminal Area Site Preparation
terminal building maintenance - public-use and private-use spaces	based on public-use space	B.6.1 Terminal Building Maintenance	based on public-use space	B.6.1 Terminal Building Maintenance
terminal building maintenance - public-use space only	80%	B.6.1 Terminal Building Maintenance	80%	B.6.1 Terminal Building Maintenance

General Project Type	State Participation Rate for State Discretionary and Airport Special Funds based on public-use space	Manual Reference	State Participation Rate for State Entitlement Funds	Manual Reference
terminal building renovation		B.6.2 Terminal Building Renovation and Terminal Building System and Equipment Replacement	based on public-use space	B.6.2 Terminal Building Renovation and Terminal Building System and Equipment Replacement
terminal building renovation - public-use space only	90%	B.6.2 Terminal Building Renovation and Terminal Building System and Equipment Replacement	90%	B.6.2 Terminal Building Renovation and Terminal Building System and Equipment Replacement
terminal building expansion	based on public-use space ratio of expanded space	B.6.3 Terminal Building Expansion	based on public-use space ratio of expanded space	B.6.3 Terminal Building Expansion
terminal building security	90%	B.7 General Aviation Terminal Building Security Systems	not applicable	not applicable
terminal building furniture	100%	B.8 Terminal Building Furniture	100%	B.8 Terminal Building Furniture
access roads	80%	6.2.2 State Discretionary Funds	80%	6.2.1 State Entitlement Funds
perimeter roads	80%	6.2.2 State Discretionary Funds	80%	6.2.1 State Entitlement Funds
DOAV owned F&E systems	100% of DOAV responsibilities	7.2.1 DOAV Owned and Maintained Systems	100% of DOAV responsibilities	7.2.1 DOAV Owned and Maintained Systems
sponsor owned F&E systems	80% of sponsor responsibilities	7.2.2 Sponsor Owned and Maintained Systems	80% of sponsor responsibilities	7.2.2 Sponsor Owned and Maintained Systems
VSP security audits and security plans	100%	8.2 State Participation	not applicable	not applicable
VSP projects	90%	8.2 State Participation	not applicable	not applicable
facility maintenance project	80%	9.2.1 Facility Maintenance Projects	80%	9.2.1 Facility Maintenance Projects
obstruction removal	80%	9.2.1.1 Obstruction Removal	80%	9.2.1.1 Obstruction Removal
purchase of maintenance equipment	50%	9.2.2 Purchase of Maintenance Equipment	80%	9.2.2 Purchase of Maintenance Equipment
maintenance of equipment	50%	9.2.3 Maintenance of Equipment	50%	9.2.3 Maintenance of Equipment
maintenance contracts and repairs related to systems and equipment for FAR Part 139 airports	not applicable	not applicable	80%	9.2.5 Utilization of State Entitlement Funds
snow and ice removal equipment for FAR Part 139 airports	80%	6.2.2 State Discretionary Funds	80%	6.2.1 State Entitlement Funds
snow and ice removal equipment for FAR Part 139 airports exceeding plans	not applicable	not applicable	80%	6.2.1 State Entitlement Funds

General Project Type	State Participation Rate for State Discretionary and Airport Special Funds	Manual Reference	State Participation Rate for State Entitlement Funds	Manual Reference
snow and ice removal equipment for reliever and general aviation airports	50%	9.2.2 Purchase of Maintenance Equipment	not applicable	not applicable
ARFF equipment for FAR Part 139 airports	80%	6.2.2 State Discretionary Funds	80%	6.2.1 State Entitlement Funds
150-pound fire extinguishers for apron	80%	6.2.2 State Discretionary Funds	80%	6.2.1 State Entitlement Funds
ARFF buildings for FAR Part 139 airports	80%	6.2.2 State Discretionary Funds	80%	6.2.1 State Entitlement Funds
ARFF simulator facilities and related equipment	not applicable	not applicable	80%	6.2.1 State Entitlement Funds
airport security facilities, systems, and equipment for FAR Part 139 airports	80%	6.2.2 State Discretionary Funds	80%	6.2.1 State Entitlement Funds
purchase of firearms and body armor for FAR Part 139 airports	not applicable	not applicable	80%	6.2.1 State Entitlement Funds
compliance manuals for FAR Part 139 and TSAR 1542	80%	6.2.2 State Discretionary Funds	80%	6.2.1 State Entitlement Funds
training materials for FAR Part 139 and TSAR 1542	not applicable	not applicable	80%	6.2.1 State Entitlement Funds
improvements and training needed for OSHA compliance	not applicable	not applicable	80%	6.2.1 State Entitlement Funds
aircraft removal systems	not applicable	not applicable	80%	6.2.1 State Entitlement Funds
debt service retirement	not applicable	not applicable	varies	A.21 debt service retirement
promotion projects for air carrier airports with ≤ 25,000 annual enplanements	2/3 up to \$25,000	11.2 State Participation	not applicable	not applicable
promotion projects for air carrier airports with >25,000 annual enplanements	1/2 up to \$25,000	11.2 State Participation	not applicable	not applicable
promotion projects for reliever and general aviation airports with ≤ 25 based aircraft	2/3 up to \$10,000	11.2 State Participation	not applicable	not applicable
promotion projects for reliever and general aviation airports with >25 based aircraft	1/2 up to \$10,000	11.2 State Participation	not applicable	not applicable
development and enhancement projects for medium hub primary airports	1/3 up to \$20,000	11.2 State Participation	not applicable	not applicable
development and enhancement projects for small hub primary airports	1/2 up to \$20,000	12.2 State Participation	not applicable	not applicable

General Project Type	State Participation Rate for State Discretionary and Airport Special Funds	Manual Reference	State Participation Rate for State Entitlement Funds	Manual Reference
development and enhancement projects for non-hub primary airports	1/2 up to \$20,000	12.2 State Participation	not applicable	not applicable
development and enhancement projects for commercial service non-primary airports	1/2 up to \$20,000	12.2 State Participation	not applicable	not applicable
development and enhancement projects for reliever non-primary airports	1/2 up to \$20,000	12.2 State Participation	not applicable	not applicable
development and enhancement projects for general aviation non-primary airports	1/2 up to \$20,000	12.2 State Participation	not applicable	not applicable

For federally funded projects for which state discretionary funds will be used, the state's participation rate is 60 percent of the non-federal share of eligible project costs.

Appendix G: Airport Classification Roles

The *Virginia Air Transportation System Plan* identifies five state classifications of airports based in part on function and primary economic role. The service role and recommended facility attributes from VATSP are summarized below. Additional information on airport classification roles is available in VATSP.

Commercial Service (also referred to as Air Carrier)	provides scheduled commuter and/or air carrier service to surrounding communities; with recommended facility attributes of a 5,500 X 150 runway, parallel taxiway, and precision approach
Reliever	provides alternative general aviation facilities to reduce congestion at commercial service airports by providing comparable landside and airside facilities, often being multijurisdictional due to geographical isolation or the relative scarcity of other airport services and facilities; with recommended facility attributes of a 5,500 X 100 runway, parallel taxiway, and precision approach
General Aviation – Regional	provides a full range of aviation facilities and services to businesses and recreational users in a broad market area with service areas; with recommended facility attributes of a 5,500 X 100 runway and non-precision approach
General Aviation – Community	provides aviation facilities and services to business and recreational users, typically serving a limited market area; with recommended facility attributes of a 3,100 X 75 runway and non-precision approach
General Aviation – Local	provides limited facilities to its respective community, as typically development is constrained by airspace conflicts, environmental concerns, topography, competing services, and surrounding land use patterns; with recommended facility attributes of a 3,000 X 50 runway and visual approach

FAA's National Plan of Integrated Airport Systems (NPIAS) identifies airports that are significant to national air transportation. The plan utilizes four basic airport service levels.

Commercial Service - Primary	provides scheduled passenger service (more than 10,000 annual enplanements)
Commercial Service – Non-primary	provides scheduled passenger service (2,500 to 10,000 annual enplanements) and general aviation service
Reliever Airport	provides attractive alternative to using congested commercial service airports and provides general aviation access to the surrounding area
General Aviation Airport	provides general aviation services while maintaining a minimum number of based aircraft and being located at least 20 miles from the nearest NPIAS airport

13 The following listing provides the VATSP and NPIAS roles for airports in Virginia.
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Airports	VATSP Service Role	NPIAS Role
Accomack County	General Aviation - Regional	General Aviation
Allen C. Perkinson Municipal	General Aviation - Community	non-NPIAS
Blue Ridge	General Aviation - Regional	General Aviation
Bridgewater Air Park	General Aviation - Local	non-NPIAS
Brookneal-Campbell County	General Aviation - Community	General Aviation
Charlottesville-Albemarle	Air Carrier	Commercial Service - Primary
Chase City Municipal	General Aviation - Local	non-NPIAS
Chesapeake Regional	Reliever	General Aviation
Chesterfield County	Reliever	Reliever
Crewe Municipal	General Aviation - Local	non-NPIAS
Culpeper Regional	General Aviation - Regional	General Aviation
Danville Regional	General Aviation - Regional	General Aviation
Dinwiddie County	General Aviation - Regional	General Aviation
Eagles Nest	General Aviation - Local	non-NPIAS
Emporia-Greensville Regional	General Aviation - Regional	General Aviation
Falwell	General Aviation - Local	non-NPIAS
Farmville Municipal	General Aviation - Regional	General Aviation
Franklin Municipal	General Aviation - Community	General Aviation
Front Royal-Warren County	General Aviation - Community	General Aviation
Gordonsville Municipal	General Aviation - Local	non-NPIAS
Grundy Municipal	General Aviation - Local	General Aviation
Hampton Roads Executive	Reliever	Reliever
Hanover County Municipal	Reliever	General Aviation
Hummel Field	General Aviation - Local	non-NPIAS
Ingalls Field	General Aviation - Regional	General Aviation
Lake Anna	General Aviation - Local	non-NPIAS
Lawrenceville-Brunswick	General Aviation - Local	non-NPIAS
Lee County	General Aviation - Community	General Aviation
Leesburg Executive	Reliever	Reliever
Lonesome Pine	General Aviation - Regional	General Aviation
Louisa County	General Aviation - Community	General Aviation
Lunenburg County	General Aviation - Local	non-NPIAS
Luray Caverns	General Aviation - Community	General Aviation
Lynchburg Regional	Air Carrier	Commercial Service - Primary
Manassas Regional	Reliever	Reliever
Marks Municipal	General Aviation - Community	non-NPIAS
Mecklenburg-Brunswick Regional	General Aviation - Regional	General Aviation
Middle Peninsula Regional	General Aviation - Regional	General Aviation
Mountain Empire	General Aviation - Regional	General Aviation
New Kent County	General Aviation - Community	General Aviation
New London	General Aviation - Local	non-NPIAS
New Market	General Aviation - Local	non-NPIAS
New River Valley	General Aviation - Regional	General Aviation
Newport News-Williamsburg International	Air Carrier	Commercial Service - Primary
Norfolk International	Air Carrier	Commercial Service - Primary
Orange County	General Aviation - Community	General Aviation
Richmond International	Air Carrier	Commercial Service - Primary
Roanoke Regional	Air Carrier	Commercial Service - Primary
Shannon	General Aviation - Community	non-NPIAS
Shenandoah Valley Regional	Air Carrier	Commercial Service - Non-Primary
Smith Mountain Lake	General Aviation - Local	non-NPIAS
Stafford Regional	Reliever	Reliever

Airports	VATSP Service Role	NPIAS Role
Suffolk Municipal	General Aviation - Regional	General Aviation
Tangier Island	General Aviation - Community	General Aviation
Tappahannock-Essex County	General Aviation - Community	General Aviation
Tazewell County	General Aviation - Regional	General Aviation
Twin County	General Aviation - Community	General Aviation
Virginia Highlands	General Aviation - Regional	General Aviation
Virginia Tech-Montgomery	General Aviation - Community	General Aviation
Wakefield Municipal	General Aviation - Community	non-NPIAS
Warrenton-Fauquier	Reliever	Reliever
Washington Dulles	Air Carrier	Commercial Service - Primary
Washington National (Ronald Regan)	Air Carrier	Commercial Service - Primary
William M. Tuck	General Aviation - Regional	General Aviation
Williamsburg-Jamestown	General Aviation - Community	non-NPIAS
Winchester Regional	General Aviation - Regional	General Aviation

Appendix H: Basic Airport Unit

The Virginia Aviation Board passed a resolution on December 15, 2004 that defined the facilities that comprise the Basic Airport Unit in Virginia. The elements of the Basic Airport Unit are:

- runway
- airport lighting system
- visual navigational aids
- stub taxiway
- aircraft parking apron
- terminal facility
- automobile parking
- airport access road
- fuel facility

This resolution also included a definition of a terminal facility. A suitable terminal facility will make provisions for:

- adequate shelter from inclement weather
- electric lighting
- accessible public telephone and restroom facilities